

# Estimation of Z-thickness and XY-anisotropy of electron microscopy images using Gaussian processes.

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## Abstract

Serial section electron microscopy (ssEM) is a widely used technique for obtaining volumetric information of biological tissues at nanometer scale. However, accurate 3D reconstructions of identified cellular structures and volumetric quantifications require precise estimates of section thickness and anisotropy (or stretching) along the *XY* imaging plane. In fact, many image processing algorithms simply assume isotropy within the imaging plane. To ameliorate this problem, we present a method for estimating thickness and stretching of electron microscopy sections using non-parametric Bayesian regression of image statistics. We verify our thickness and stretching estimates using direct measurements obtained with atomic force microscopy (AFM) and show that our method has a lower estimation error than a recent indirect thickness estimation method. Furthermore, we have made the first dataset of ssSEM images with directly measured section thickness values publicly available.

**Keywords:** Electron microscopy, Section thickness, Sample stretching, Gaussian process regression, Atomic force microscopy.

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## Introduction

Electron microscopy (EM) has enabled imaging of nano-scale neuroanatomical structures such as synapses. Serial section Scanning Electron Microscopy (ssSEM) and serial section Transmission Electron Microscopy (ssTEM) are used to inspect tissue volumes on the scale of tens to hundreds of micrometers in each dimension. Tissue sections suitable for ssEM typically have a thickness that ranges from 30 nm to 70 nm. These extremely thin serial sections are cut from a resin-embedded specimen using an ultramicrotome equipped with a diamond knife. Usually, there can be variations in thickness from one section to another (up to 20%) [1]. Another EM technique used to obtain volumetric image data is Focused Ion Beam Scanning Electron Microscopy (FIBSEM) which allows milling (virtual sectioning) in the order of 5 nm ~ 10 nm. The problem of section thickness variation is also observed in FIBSEM data [2].

EM image processing methods commonly implicitly assume isotropy of physical structures along the imaging plane [3]. However, sources of anisotropy (stretching) in the imaging plane include anisotropy intrinsic to the specimen (e.g., structures with a preferred orientation), effects of sample handling and cutting, and imperfections in microscope calibration. We focus on the image analysis problem of determining the overall stretching without distinguishing between the sources of stretching.

In this work, we address estimation of thickness and stretching by learning a function  $f$  used to infer the spatial distance between pairs of sections based on image statistics. To compute predictive distributions of spatial distances for new, unseen images we use Gaussian Processes (GPs) to perform non-parametric Bayesian regression. We also use GP regressors to estimate stretching.

Section thickness estimates allow the correction of volume estimates along the  $z$  axis (perpendicular to the cutting plane), which is useful for producing more accurate 3D reconstructions of imaged tissue. Furthermore, both section thickness and stretching estimates can improve the density estimation of objects such as synapses (normalized per unit volume). This is particularly useful for comparing tissue volumes that underwent different experimental manipulations.

However, we note that the stretching factor alone cannot give us the original area of regions in the original sample, as it cannot distinguish tissue processing-induced stretching from any intrinsically “stretched” (anisotropic) nature of the original sample. Because these sources cannot be distinguished by analyzing the images alone, such absolute measurements are beyond the scope of this paper.

For any method that uses the known *XY* resolution to model the absolute spatial distance between sections (including Sporring et al. and our method), it is important to have an estimate of the anisotropy along the *XY* plane. Such *XY* anisotropy affects the image statistics along the two axes [4]. If unaccounted for, the disparity of these statistics can introduce inaccuracies in the results obtained by methods that assume similar statistics along the two axes. Our solution to this problem is described in section Estimation of Stretching.

In order to validate the thickness estimates, we have directly measured the thickness of a set of EM sections using atomic force microscopy (AFM). We have made the validation dataset publicly available as a benchmark to evaluate section thickness estimation methods [5].

Validation results and estimates for  $z$ -section thickness and

xy-anisotropy for FIBSEM, ssTEM and ssSEM data sets are discussed in the following Section.

The code for running the experiments described in this paper is publicly available [6].

## Related Work

In [4], the relationship between pairwise image dissimilarity and distance is computed by averaging over discrete data points. The estimated thickness of a new section is interpolated from these. [4] assumes that locally, images are realizations of an isotropic and rotationally invariant process. By contrast, we adopt an approach that is less affected by sample anisotropy in the XY plane.

In [7,8], the positions of the images along the Z axis are iteratively corrected to seek a consistent solution in which adjacent sections have an optimal gap (or thickness) between them. The optimal solution adjusts the positions of the images such that the distance-similarity curve is maximally smooth after a fixed number of iterations.

To keep the section thickness consistent in the FIBSEM milling process, [9] presents a method to infer the section thickness using the intensity of the ion beam that has been used for milling. Moreover, they propose to estimate the section thickness by rescaling Z coordinates such that the peaks of the autocorrelations along the Z axis and the X axis have the same full width at half maximum. However, this method provides an average thickness value for all sections unlike Sporring et al. and our method that estimate thickness for each section individually [4].

An ellipsometric approach was suggested by Peachey [10], where the reflected color of the thin sections floating on water was used to coarsely estimate the thickness of the sections. This method provides a coarse estimate up to an accuracy of 15 nm to 25 nm and the minimum thickness that can be estimated is about 50 nm. Accidental folds in the EM sections are used to determine the thickness of that section [11]. Furthermore, Fiala and Harris [12] proposed the method of cylinders that uses “cylindrical” mitochondria to get an estimate of section thickness under the assumption that cylindrical mitochondria can be found with their axis parallel to the cutting direction.

## Estimation of Section Thickness

We propose to learn a function of pairwise image dissimilarity to estimate the distance between a pair of sections. Our approach adapts the work of Sporring et al. with the variation described below [4]. What we refer to as section thickness is the distance between a pair of adjacent sections in a series of volumetric images.

We assume that local structures in the images vary smoothly in all directions at a spatial scale larger than the section thickness. Hence, the dissimilarity  $S_{I_A, I_B}$  between two parallel images  $I_A$  and  $I_B$  only depends on the spatial distance  $D_{A,B}$  between them. To learn the variation of image dissimilarity as a function of the distance, we extract images at known distances along the X and Y axes of the imaging plane:  $D_{A,B} = f(S_{I_A, I_B})$ . This can be done by generating two equally sized image patches A and B from

any original image I which are a distance  $D_{A,B} = n \times \Delta x$  away from each other. Here  $\Delta x$  is the length of a rectangular pixel along the X axis and  $n$  is the number of pixels. Image patch A is centered on pixel coordinates  $(x_i, y_i)$ , and image patch B is centered on pixel coordinates  $(x_i + n \times \Delta x, y_i)$ . We observed that patches smaller than  $7 \times 7 \mu m$  tend to have problems caused by sample inherent anisotropy (e.g., elongated mitochondria, membranes accidentally having similar orientations). The variation of thickness estimates with image size for subvolume (1) of the validation dataset is plotted in Figure 11. The shape of the extracted image patches has no effect on the learned statistics.

As dissimilarity measure  $S_{I_A, I_B}$ , we use the standard deviation of pixel-wise intensity differences (SDI) defined in eqn. (1), similar to Sporring et al. [4].

$$S_{I_A, I_B} = \sqrt{\frac{1}{N} \sum_{x,y} (I_{A,x,y} - I_{B,x,y})^2} \quad (1)$$

We learn two separate distance-dissimilarity functions  $f_x(S)$  and  $f_y(S)$  as described in the section Non-Parametric Bayesian estimation using Gaussian Process regression. After estimating the relative stretching  $\gamma$  between the two axes, we use one of these functions to estimate section thickness depending on the value of  $\gamma$ . Since samples could be compressed in one direction relative to the other due to effects of tissue handling/cutting, we recommend using the distance-dissimilarity function corresponding to the lesser compressed axis for estimation of section thickness.

## Non-parametric Bayesian estimation using Gaussian Process Regression

We aim to learn from data the distance D of two images as a function f of image dissimilarity S between pairs of images:

$$D = f(S). \quad (2)$$

Given many image pairs, a training dataset consisting of N data points  $\{(D_i, S_i)\}_{i \in \{1, \dots, N\}}$  in the distance-dissimilarity plane is created. This general supervised learning framework in which we estimate the function f that best fits these data points is commonly known as regression. The use of a regression model to infer an output (displacement in our case) given an input (our dissimilarity) is usually referred to as prediction.

In regression analysis, a common method to learn f is to assume a specific form  $f_w$  parameterized by a vector w. Then, the regression problem can be formulated as finding the best set of parameters that minimizes a sample loss  $L_i$  for all pairs of outputs  $D_i$  and inputs  $S_i$ . As an example, least squares regression specifies  $L_i = \|D_i - f_w(S_i)\|_2^2$  and finds  $w^*$ , an optimal set of weights such that  $w^* = \operatorname{argmin}_w \sum_i L_i$ .

Here we formulate the regression problem in a Bayesian framework that aims to infer the posterior distribution of the parameters  $p(w|D, S)$ , given a prior for their distribution  $p(w)$  and a likelihood coming from the data  $p(D|S, w)$ ,  $D = f_w(S) + \epsilon$ , where  $\epsilon$  is a noise model. In this view, the mode of the posterior  $p(w|\{D_i, S_i\}_i)$  corresponds to the most likely solution for the regressor, and the standard deviation of the posterior corresponds to the uncertainty.

An intrinsic limitation of parametric regression is the need to explicitly specify  $f_w$ : in many practical problems, this function is a-priori unknown and one might prefer not to make strong assumptions about it.

We formulate the inference problem in function space [13] using Gaussian Process (GP) regression [14], where a GP is set of random variables for which any finite subset has a joint Gaussian distribution. A GP defines a probability distribution over functions that allows inference in the space of functions. The GP is completely specified by a mean function  $m(S)$  and a covariance function  $k(S,S')$  reflecting the mean and covariance of the process  $f$ , formally:  $m(S)=\mathbb{E}[f(S)]$  and,  $k(S,S')=\mathbb{E}[(f(S)-m(S))(f(S')-m(S'))]$ , where  $\mathbb{E}$  denotes the expectation w.r.t  $f$ . The unknown function  $f(S)$  can be seen as a realization of the Gaussian Process:

$$f(S) \sim \mathcal{GP}(m(S),k(S,S')). \tag{3}$$

To perform regression using a Gaussian Process [15], only the form of the functions  $m$  and  $k$  have to be specified. These functions do not induce a particular form for  $f$ : rather, the covariance function can be seen as specifying a prior over the function space. Also, note that eventual hyperparameters for the mean and covariance function can be learned from data.

For our model, we choose the covariance  $k(S,S')$  function to be a squared exponential (SE):

$$k(S,S') = \sigma^2 \exp\left(-\frac{1}{2l^2}(S-S')^2\right). \tag{4}$$

Intuitively, an SE covariance is a smoothness prior on the functions determined by the length-scale  $l$  and the signal standard deviation parameter  $\sigma$ . For the distance measure SDI we choose a function of the form  $m(S)=a S^b$  as the mean function, because the SDI empirically shows a power law increase starting from (0,0).

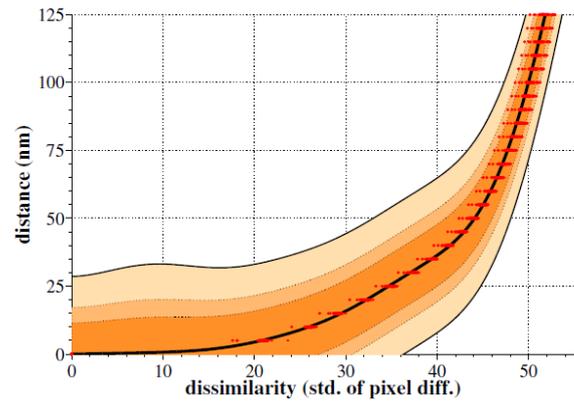
The set of hyperparameters  $\theta=(\sigma,l,a,b)^T$  can be seen as hyperpriors that guide the optimization but are not restrictive. Both hyperpriors  $p(a)=\mathcal{N}(\mu_a, \sigma_a)$  and  $p(b)=\mathcal{N}(\mu_b, \sigma_b)$  are Gaussian distributions whose means ( $\mu_a, \mu_b$ ) and standard deviations ( $\sigma_a, \sigma_b$ ) are determined using a standard non-linear regression of a function  $D=a \cdot S^b$  using a Levenberg-Marquardt algorithm.

For the distance measure SDI, we empirically found that the length scale parameter  $l=10$  and the signal variance parameter  $\sigma=1$  allow the GP to model the desired level of smoothness and robustness to noise by visual inspection of resulting distance-similarity plots.

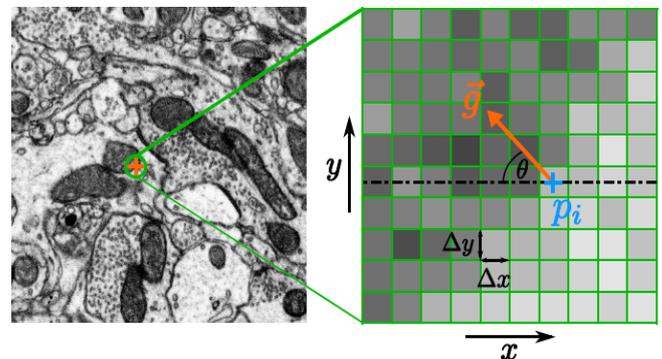
To perform the GP regression itself, we compute the marginal likelihood. We then produce a predictive distribution for the output  $D$  at each test input location  $S$ . Figure 1 shows the mean and variance of these predictive distributions.

### Estimation of Stretching

The learned distance-dissimilarity function can be utilized to estimate the *stretching coefficient*  $\gamma_{yx}$ , defined as the deviation from isotropy of the image along the  $Y$  axis relative to the  $X$  axis. Consider a small image patch with pixel intensity gradient  $\vec{g}$  at an angle  $\theta$  relative to the  $X$  axis, Figure 2. The intensity



**Figure 1.** Graph of distance  $D$  vs. image dissimilarity  $S$ ,  $D = f(S)$ , used for the estimation of section thickness and stretching. Shown are the training data (red dots), the mean (bold line), and multiple standard deviations  $\sigma$  of the Gaussian Process (GP) predictive distributions (darkest to lightest orange)  $2\sigma$  (95%),  $3\sigma$  (99.7%),  $5\sigma$  (99.9%).



**Figure 2.** Left: FIBSEM image of  $700 \times 700$  pixels. Right: An image patch with gradient  $\vec{g}$  located at  $p_i$  and forming an angle  $\theta$  w.r.t to the  $X$  axis.

difference  $\Delta p_i$  at pixel  $i$  between

two image patches separated by one pixel ( $\Delta x$ ) along the  $X$  axis is given by:  $\Delta p = p_i - p_{i-1} \|\vec{g}\| \cos\theta \Delta x$ , where  $p_i$  is the pixel intensity at pixel  $i$ . It follows from eqn. (1) that the dissimilarity between these image patches (ignoring boundary conditions) is:

$$S(I_{x,y}, I_{x+\Delta x,y}) = \Delta x \|\vec{g}\| \cos\theta \sqrt{\frac{1}{N} \sum_{i=1}^N p_i^2}. \tag{5}$$

As shown by eqn. (5) the dissimilarity is directly proportional to the local gradient of the image patch. We use this result to estimate  $\gamma$  along one axis relative to the other (because stretching along one axis alters the component of the gradient along that axis).

To estimate  $\gamma$  along the  $Y$  axis relative to the  $X$  axis ( i.e.,  $\gamma_{yx}$ ) we perform the following steps: First, the distance-dissimilarity function  $f_x(S)$  is learned using images displaced by  $n$  pixels along the  $X$  axis. Then, for a pair of images separated by one pixel along the  $Y$  axis (distance  $\Delta y$ ), we calculate the dissimilarity value  $S$  using eqn. (1). Using the value of  $S$ , we estimate the pixel distance using the regression function  $f_x(S)$  learned above. This estimate gives  $\hat{n}_{yx}$ , where  $\hat{\Delta y} = \hat{n}_{yx} \Delta x$ . This is the expected length of a pixel along the  $Y$  axis using the distance-dissimilarity statistics along the  $X$  axis. Therefore,  $\hat{n}_{yx}$  captures the linear scaling of the  $Y$  axis with respect to the  $X$  axis in terms

of distance-dissimilarity statistics. The stretching coefficient  $\gamma_{yx}$  of the Y axis relative to the X axis is defined as

$$\gamma_{yx} = \frac{\Delta y}{\hat{\Delta y}} = \frac{\Delta y}{\hat{n}_{yx} \Delta x} = \frac{a_{yx}}{\hat{n}_{yx}} \quad (6)$$

where  $a_{yx}$  is the pixel aspect ratio  $\Delta y / \Delta x$ . For a pixel aspect ratio of 1,  $\gamma_{yx} > 1$  implies stretching of the Y axis relative to the X axis. Once the  $\gamma_{yx}$  is known, we suggest to use the regressor corresponding to higher  $\gamma$  (lower relative compression) as the distance-dissimilarity function for section thickness estimation. For instance, provided  $\gamma_{yx} < 1$ , the regressor  $f_x(S)$  should be used because the linear compression of the Y axis is potentially higher than that along the X axis and therefore  $f_x(S)$  will result in a more accurate thickness estimate.

However, the exact orientation of the X and Y axes are arbitrary. In order to find the directions of maximum and minimum stretching,  $\gamma_{yx}$  has to be calculated for a range of orientations. The lowest value  $\gamma_{yx}^*$  corresponds to the pair of orthogonal axes for which X has the minimum stretching along its direction.

### Validation of Thickness Estimation using Atomic Force Microscopy

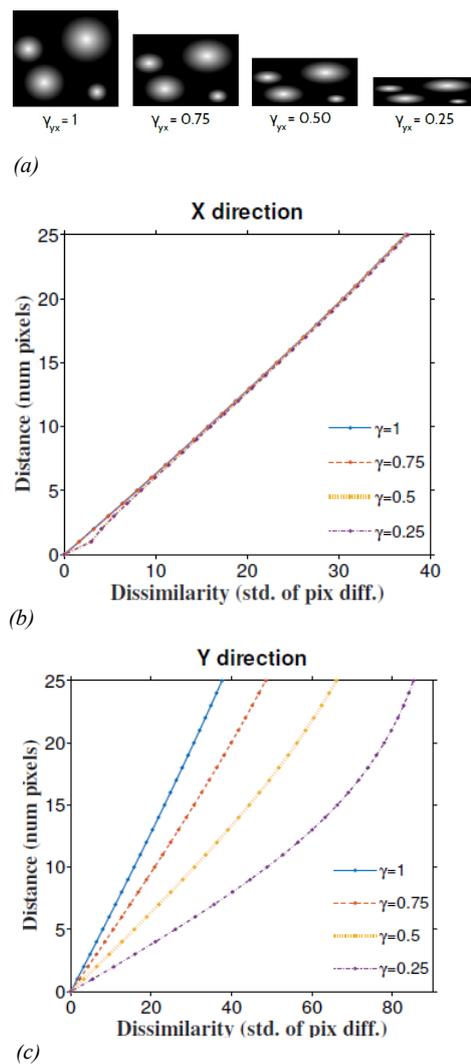
Validation of EM section thickness estimation methods should be performed using a standard data set with accurately measured thickness. We used Atomic Force Microscopy (AFM) [16] to produce a dataset for validation of thickness estimates. AFM is a scanning probe microscopy technique that can be used to measure the 3D surface profile of a section at nanometer resolution. The AFM probe is a sharp tip with a typical radius of 5 ~ 50 nm that scans the surface while measuring changes in the atomic forces between the sample and the tip. AFM allows us to directly measure the thickness of ssEM sections placed on flat silicon wafers (Figure 4).

An uncertainty analysis for height measurements using AFM has been performed in [17-19]. The uncertainty of the measurements for heights of around 200 nm is reported to be 1 nm whereas for heights below 50 nm the uncertainty is 0.5 nm.

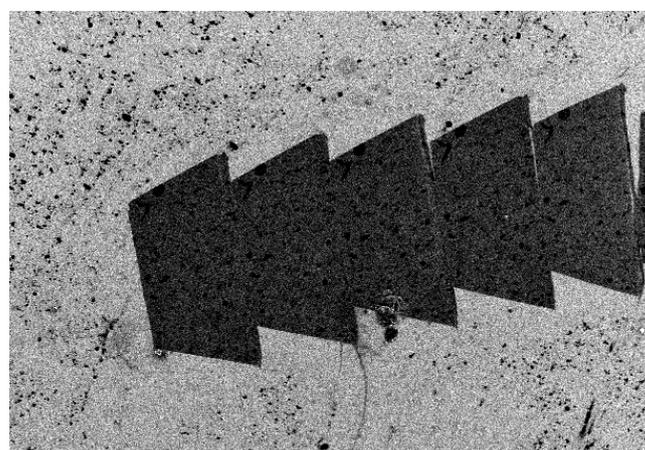
As illustrated in Figure 5a, thickness measurements were obtained using AFM along three distinct scan lines along each ultrathin tissue section. We measured the thickness of each section as the average distance between the surface of the silicon wafer and the surface of the EM section Figure 5b. EM imaging (with parameters: dwell time 7  $\mu$ s, probe current 500 pA, extra-high tension (EHT) 1.5 kV) typically mills around 10 nm of tissue. To avoid offsetting the AFM thickness measurements by EM milling, we made sure that EM imaging and AFM measurements were performed on non-overlapping regions on the sections.

### Results and Discussion

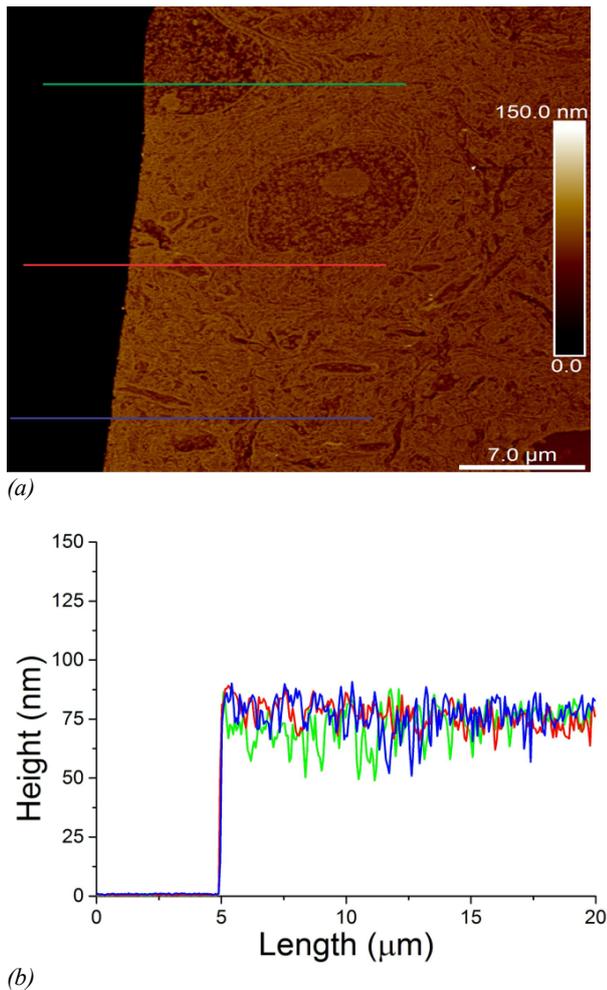
To validate the estimation of the stretching coefficient  $\gamma$ , we used linearly compressed versions of a synthetic image as shown in Figure 3a. The original image was composed of bright circular objects with radial gradients. Then the image was re-scaled with known  $\gamma$  along the Y axis (vertical) down to different sizes. Using eqn. (7) we recovered  $\gamma$  with an average accuracy of 97.3% for a linear compression of 75% (Table 1).



**Figure 3.** (a) Four images with an artificially generated pattern featuring locally radial gradients. From left to right the images underwent a simulated linear stretching (in this case, compression) along the Y axis. The legend specifies the normalized size  $w \times h$  of the image. (b) The distance-dissimilarity plots along the X axis for shifted versions of the radial gradient pattern along the horizontal axis and, (c) along the Y axis for shifts along the vertical axis.



**Figure 4.** EM image of several trapezoidal brain sections placed on a silicon wafer. The image was obtained at a pixel resolution of  $2.2 \mu\text{m} \times 2.2 \mu\text{m}$ .



**Figure 5.** (a) is an AFM image of one section prepared for EM. The pixel intensity corresponds to the relative height above a reference point on the platform on which the section is placed. We obtained AFM measurements along three scan lines (green, red and blue) as shown in the image. This process is separately repeated for all sections. (b) shows the measured height of the section relative to the height of the silicon wafer along each of the three colored lines in Figure 5a. The average of these three measurements is taken as the AFM section thickness.

**Table 1.** Estimated stretching coefficient  $\gamma_{yx}$  in synthetic images (Figure 3), 500 FIBSEM images, and 20 ssSEM images.

$\gamma$ for synthetic images (Figure 3)			$\gamma$ for real images	
Ground-Truth	0.75	0.50	FIBSEM	$0.94 \pm 6.6 \times 10^{-4}$
Estimates	0.730	0.63	ssSEM	$0.86 \pm 0.01$

Estimated  $\gamma$  for real data sets (ssTEM [20] and FIBSEM) are summarized in Table 1. The FIBSEM dataset of 490 images was taken from songbird brain tissue imaged at  $5 \text{ nm} \times 5 \text{ nm}$  resolution along the  $XY$  plane and with expected section thickness of 10 nm. The entire FIBSEM stack had the dimensions  $8 \text{ } \mu\text{m} \times 8 \text{ } \mu\text{m} \times 5 \text{ } \mu\text{m}$ . Estimates of  $\gamma$  and section thickness for the FIBSEM dataset are plotted in Figure 10.

To validate our thickness estimation method, we prepared a dataset of 20 serial sections, taken from the same brain area. Three image stacks were obtained by performing ssSEM on three non-overlapping areas of these sections. The image size of each of these subvolumes are: (1)  $9.5 \text{ } \mu\text{m} \times 9.5 \text{ } \mu\text{m}$  (2)  $6.5 \text{ } \mu\text{m} \times$

$6.5 \text{ } \mu\text{m}$  (3)  $6.5 \text{ } \mu\text{m} \times 6.5 \text{ } \mu\text{m}$ . The EM images were acquired at a spatial resolution of  $5 \text{ nm} \times 5 \text{ nm}$ .

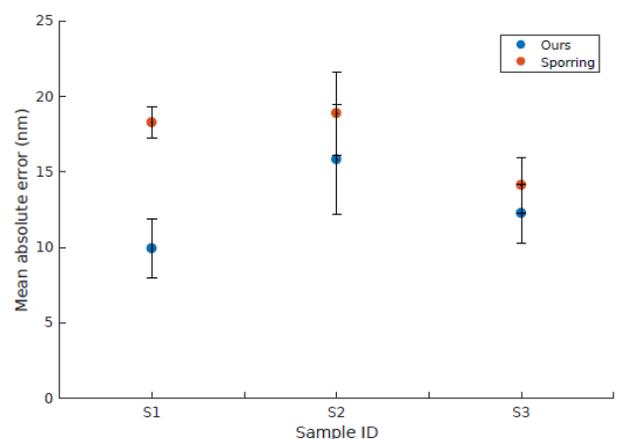
We found that the FIBSEM data were associated with a higher  $\gamma$  (lower linear compression) compared to the ssSEM data. This is due to the fact that unlike in ssSEM, FIBSEM does not make use of a diamond knife for thin sectioning, which is a potential source of linear compression. Instead, FIBSEM uses an ion beam to successively burn away thin layers.

The image similarity measures used in our approach and Sporring et al. are based on local deviations of pixel intensities across adjacent sections [4]. Therefore, thickness estimates are sensitive to errors in image registration. For this reason, it is important to make sure that the image stack is properly registered before applying either of these methods for section thickness estimation.

We registered the serial section images into a 3D image volume using elastic alignment [21] that jointly performs 2D stitching, 3D alignment, and deformation correction. This approach is based on an initial alignment obtained by matching image landmarks on nearby sections, where the landmarks are defined using SIFT image features. Further deformations are estimated using local block matching. Afterwards, this initial alignment is optimized by modeling each section as a mesh of springs where parts of the image are allowed to translate and rotate subject to imposed rigidity limits.

As mentioned in the section titled Estimation of Stretching, the image axes  $X$  and  $Y$  are arbitrarily chosen. Therefore, we estimated the maximum stretching factor  $\gamma_{yx}^*$  for a range of possible axes by rotating the original images up to  $180^\circ$ . Anisotropy estimates for a range of such rotations are shown in Figure 12. We found that thickness estimation is optimal when the images are rotated such that the stretching factor  $\gamma_{yx}$  is minimized. At this rotation angle, the  $X$  axis is minimally stretched compared to the  $Y$  axis.

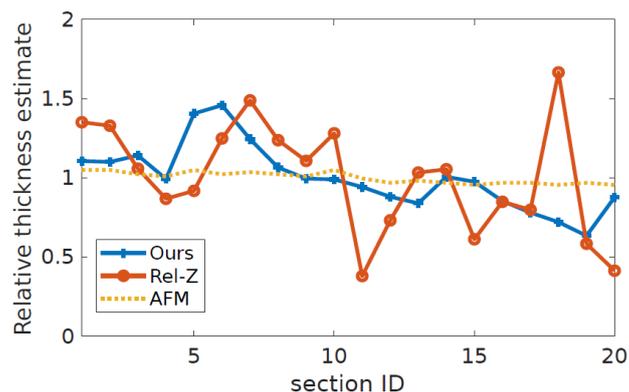
For the validation dataset, the average section thickness measured using AFM was  $74.35 \pm 2.64 \text{ nm}$ . Our method was



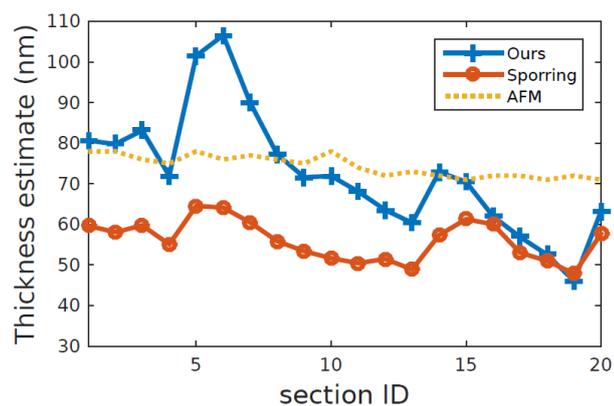
**Figure 6.** Absolute estimation errors using our method and that of Sporring et al. relative to AFM measurements of section thickness for the three ssSEM image stacks used for validation. Absolute estimates for sample S1 are plotted in Figure 7. Mean absolute error estimates for the three volumes using our method:  $9.9\% \pm 2.0$ ,  $15.8\% \pm 3.64$ , and  $12.3\% \pm 2.0$ ; using Sporring et al. :  $18.3\% \pm 1.04$ ,  $18.9\% \pm 2.8$ , and  $14.1\% \pm 1.8$ .

able to estimate the thickness of the 20 sections with a mean absolute error of  $9.91\% \pm 1.97$ , whereas the XY-averaging method in Sporring et al. produced thickness estimates with a mean absolute error of  $18.26\% \pm 1.04$  [4]. The full comparison of estimation errors is plotted in Figures 6 and 7. Because our estimation method is purely based on image statistics, it is prone to overestimating the thickness when images are noisy. An instance of such an overestimation is illustrated in Figure 9.

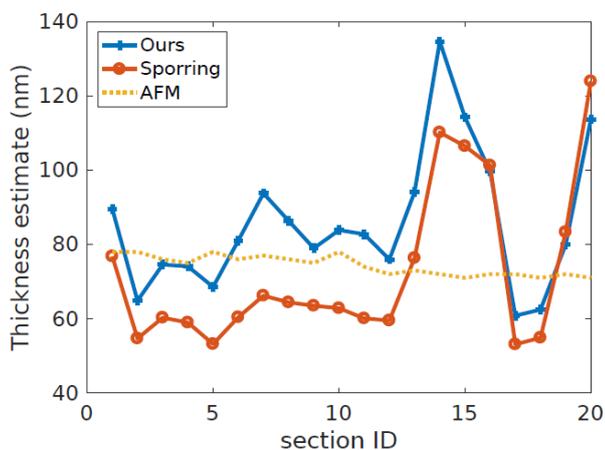
In addition to thickness measurements of ssSEM sections using AFM, we propose a second approach for validating thickness estimates. This second approach uses synthetic sections with known thicknesses derived from nearly isotropic FIBSEM volumes with known XY resolution. We use the method described in the section Estimation of Section Thickness to generate data points for learning the function given in eqn. (2). To validate section thickness estimates, we split each image stack into separate training and test data sets. The training sets were used to learn the regression function given by eqn. (2) and the test images were used for validation. We trained a regression function on 100 images of size  $7 \mu\text{m} \times 4.5 \mu\text{m}$  from a FIBSEM image stack, Figure 1. We used the test images to create 3 separate image sequences of 30 images each with known displacements of 10 nm, 50 nm, and 75 nm along the relatively



**Figure 8.** To compare our thickness estimates with relative Z coordinates estimated using (Rel-Z) [7], we have produced relative thicknesses by normalizing absolute thicknesses obtained from both our method and from AFM measurements. Compared to relative thicknesses obtained with AFM, the mean absolute error of our method is  $0.13 \pm 0.03$  whereas Hanslovsky et al.'s method produces a mean absolute error of  $0.27 \pm 0.04$  [7]. This plot was produced using subvolume (1) of the validation dataset.

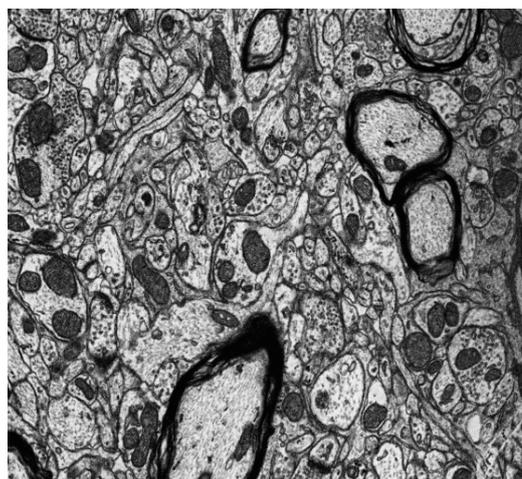


(a)

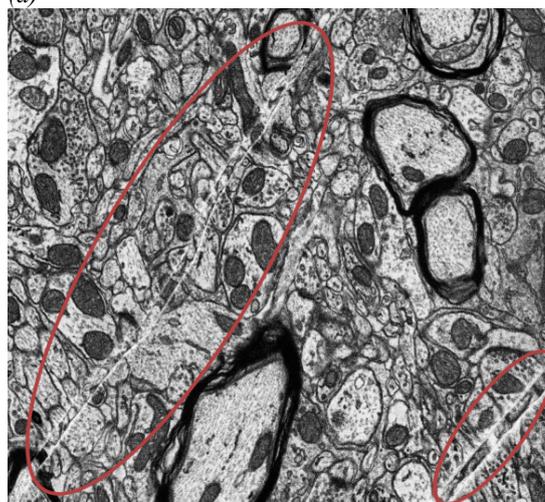


(b)

**Figure 7.** Validation using AFM: Comparisons of thickness estimates using our method (GP), the method of XY averaging introduced by Sporring et al., and direct measurements of section thickness by atomic force microscopy (AFM), using (a) subvolume (1) of the validation dataset (image size:  $9.5 \mu\text{m} \times 9.5 \mu\text{m}$ ) and (b) subvolume (2) of the validation dataset (image size:  $6.5 \mu\text{m} \times 6.5 \mu\text{m}$ ) [4].



(a)



(b)

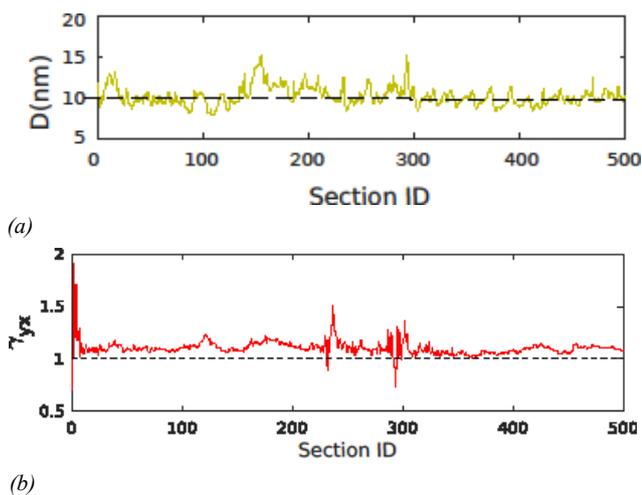
**Figure 9.** (a,b) Image pair corresponding to the peak thickness estimate (section ID = 14) in Figure 7 (b). Artefacts seen as white lines in image (b) (highlighted using red ellipses) have contributed to increasing the dissimilarity between the two images, thereby resulting in an overestimate of thickness.

uncompressed axis. The results obtained are summarized in Table 2 along with a comparison with Sporring et al. [4].

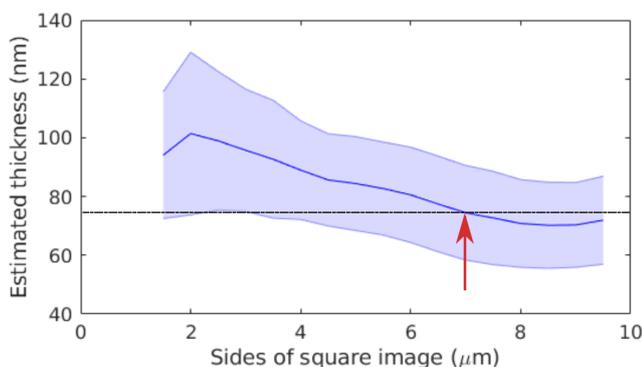
Although included for comparison, we note that in Sporring et al. an average distance-dissimilarity curve is generated for each pair of images between which the distance is estimated and therefore the interpolation function is based on the statistics of the validation data itself, unlike in our approach [4]. A recent contribution towards correcting Z coordinates of a 3D image stack is presented in [7,8], where relative Z positions for each image are calculated. In order to compare, we converted absolute thickness estimates of our method and thickness measurements from AFM (subvolume 1 of the validation dataset) into relative thickness by normalizing the thickness values using the mean absolute thickness [7]. With respect to relative thickness values

**Table 2.** Average thickness estimates for sets of 30 sections. The “ground truth” thicknesses were derived from nearly isotropic FIBSEM data as described in Section 3.

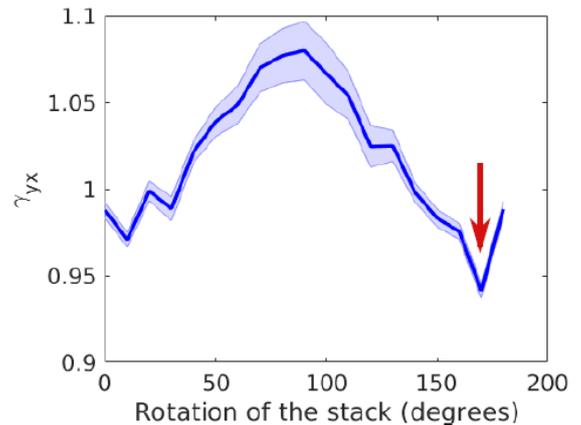
Thickness values are in nanometers (nm)			
“Ground Truth”	10	50	75
xy avg. [4]	9.93	47.35	69.09
Ours	10.18 ± 5.61	47.02 ± 5.60	71.36 ± 5.59



**Figure 10.** (a) Section thickness estimates ( $D$ ) for a FIBSEM stack of 500 consecutive images (expected:  $D \approx 10$  nm) and (b) Estimates of the stretching coefficient  $\gamma_{yx}$  for a FIBSEM stack of 490 images.



**Figure 11.** Mean thickness estimate (blue line) and standard deviation (Shaded area) as a function of the image size used for thickness estimation. We used 20 images from subvolume (1) of the validation dataset. The arrow points to the image size ( $7 \mu\text{m} \times 7 \mu\text{m}$ ) where the average thickness estimate is equal to the average AFM thickness measurement ( $74.35 \pm 2.64$  nm the dotted line).



**Figure 12.**  $\gamma_{yx}$  estimated for different rotations about the Z axis. The minimum  $\gamma_{yx}^* = 0.94$  corresponds to the stretching coefficient achieved at  $170^\circ$  rotation as pointed out by the arrow.

obtained by AFM, our approach resulted in a mean absolute error of  $0.13 \pm 0.03$  where-as, Hanslovsky et al. obtained a mean absolute error of  $0.27 \pm 0.04$  Figure 8 [7]. For this comparison we used the Fiji plugin available for Hanslovsky et al. using its default parameters with the option for reordering disabled [7,22].

### Conclusion

We have presented a method for estimating both thickness and stretching in EM imagery, using image statistics alone. Our method is based on learning the distance between adjacent sections as a function of their dissimilarity.

The stretching coefficient quantifies the cumulative effect of different sources of anisotropy along the XY plane including handling, storing, cutting, imaging, and the intrinsic anisotropy of the specimen. Anisotropy estimation is a useful pre-processing step for any method that assumes isotropy in image statistics.

As part of this work, we have created a dataset of 20 ssSEM images along with thickness measurements directly obtained with AFM. We used this dataset to compare the performance of our thickness estimation method with other methods that use image statistics for indirect estimation of section thickness.

Thickness estimation methods based on image statistics alone are prone to be inaccurate if sample anisotropy is not taken into account. We have shown that estimation of XY anisotropy can help to improve the accuracy of thickness estimation. Our anisotropy estimation method selects the optimal rotation of the original image stack to train a regressor that is minimally affected by sample anisotropy. We recommend using images larger than  $7 \mu\text{m} \times 7 \mu\text{m}$  so that effects of locally oriented structures may even out given a sufficient scope.

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