

## **Algorithmic approach to upper respiratory tract infections in primary care.**

**Hüseyin Balcioğlu<sup>1\*</sup>, Abdullah Çulhaci<sup>2</sup>, Kazım Tirpan<sup>3</sup>, Soner Turan<sup>4</sup>**

<sup>1</sup>Department of Family Medicine, Eskişehir Osmangazi University, Eskişehir, Turkey

<sup>2</sup>Yeni Family Health Care Center, Eskişehir, Turkey

<sup>3</sup>Kemal Nurhan Mani Family Health Care Center, Eskişehir, Turkey

<sup>4</sup>Yıldıztepe Family Health Care Center, Eskişehir, Turkey

### **Abstract**

**Introduction:** Despite the majority of these infections being viral, a high percentage are regarded as bacterial infection by physicians and treated unnecessarily with antibiotics. We aimed to compare changes in patient-physician behaviors and therapeutic approaches and costs with use of Upper Respiratory Tract Infections (URTI) algorithms in primary care.

**Material and methods:** This study is a randomized, controlled clinical field study, which was performed with the participation of 34 volunteer family physicians that were, later, divided into 2 groups as algorithm group and control group, with respect to their family center. Both groups were asked to fill surveys that were designed specifically for each group. Differences in therapeutic approaches and treatment costs were compared for both algorithm using and not using physician's groups. At the end of the study, participant physicians were asked to answer a 12-question survey to assess their therapeutic approach to URTI.

**Results:** A total of 460 patients were included to study. When antibiotic prescribing rates were compared between the groups, significantly fewer antibiotics were prescribed in the algorithm group. Modelling experiments for all cases revealed that group itself and presence of a sore throat, cough and fever were effective variables for antibiotic prescribing. Modelling was performed separately to determine how algorithm affects decision making. Prescription fees are significantly higher in the antibiotics using group.

**Conclusion:** Although there are guidelines in the primary care practice, this behavioural change after a single training suggests that more training are necessary and application of record-based algorithm systems is required.

**Keywords:** Algorithm, Upper respiratory tract infections (URTI), Antibiotic prescription, Sore throat.

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### **Introduction**

Upper Respiratory Tract Infections (URTI), which are defined as diseases characterized by acute nasal and pharyngeal mucosal inflammation in the absence of another respiratory disorder, represent the most common disease evaluated in primary care. Despite great advances in medicine, uncomplicated URTIs continues to be a great burden on society in terms of human suffering, economic losses and millions of days of absence from work or school each year [1]. The incidence is estimated to be 2-3 episodes per year in adults, 6-8 episodes per year in children URTI represents 50% of all adult illnesses and 75% of illnesses in children [2]. URTI are most commonly observed in the spring. The majority of these infections (69-83%) were showed to be viral in origin. The most common viral infectious agents are from rhinovirus family in about 80% of cases. Other viruses include the

coronavirus, influenza A or B, parainfluenza virus, adenovirus, enterovirus, and respiratory syncytial virus. In remaining cases, no proven pathogen was identified. Primary bacterial infections are extremely rare. Despite the majority of these infections being viral, a high percentage are regarded as bacterial infection by physicians and treated unnecessarily with antibiotics [3,4].

A study from a large, outpatient ambulatory network of more than 52000 cases of URTI showed that 65% of cases received an antibiotic prescription [5]. Overuse of antibiotics may lead to resistance, increased cost, and increased incidence of adverse effects, including anaphylaxis [6]. To provide an effective, accurate and reliable treatment facility and to guide therapy, some criteria were determined and various algorithms have been developed for specific diseases. For an approach to the management of URTI to be of practical use during routine

office visits in primary health care, these algorithms must be simple, be applicable to both children and adults, reduce unnecessary antibiotic prescribing and improve identification of Group A Streptococcus infections which are responsible for only 10-20% of URTI in general practice [7-9]. The centor criteria are a set of criteria which may be used to identify the likelihood of a Streptococcal pharyngitis infection in adult patients, complaining of a sore throat [7]. Points derived from the criteria of presence of fever, tonsillar exudates and tender anterior cervical lymphadenopathy, absence of cough and age of patient were used to guide management of a sore throat in terms of the need for antibiotic prescribing. Patients with a score of 4 or 5 are at high risk of streptococcal pharyngitis, and empiric treatment may be considered [10]. If centor score is zero or 1, streptococcal pharyngitis risk is very low and there is no need for further testing (i.e., the throat culture or Rapid Antigen Detection Testing (RADT)) or antibiotic therapy. A score of 2-3 requires RADT or throat culture to warrant antibiotic therapy with positive results [8]. The presence of all 4 variables shows a 40-60% positive predictive value for a culture of the throat to test positive for Group A Streptococcus bacteria. The absence of all 4 variables shows a negative predictive value of greater than 80% [11]. The high level of negative predictive value suggests that the centor criteria can be more effectively used to rule out than to diagnose strep throat. Evidence-based, constantly updated with current literature and guidelines, self-learning clinical decision support systems and diagnosis/treatment algorithms are needed to provide physicians to follow and apply current information, improve the quality and efficiency of health care, improve clinical outcomes and minimize the risk of medical errors, help increasing quality while decreasing the cost of care. Nowadays, computer-based systems have become one of the required features of the health sector. Improvement of communication and internet connections has enabled widespread use of this technology in the health sector. Creation of clinical practice based computer software has facilitated the implementation of clinical information systems in hospitals [12]. In this study, we aimed to compare changes in patient-physician behaviors and therapeutic approaches and costs with use of URTI algorithms in primary care.

## Material and Methods

This study is a randomized, controlled clinical field study, which was performed with the participation of 34 volunteer family physicians that were, later, divided into 2 groups as algorithm group and control group, with respect to their family center. An information meeting, describing general outline of the study and surveys, and implementation of the study was held for each group separately. To the algorithm group, modified McIsaac diagnostic criteria were described, and to investigate the effectiveness of treatment, participants were told to consider these criteria for management of patients having inclusion criteria. The control group was told to make no change in their own daily diagnostic and treatment protocols. Both groups were asked to fill surveys that were designed specifically for each group. The patient selection

criteria were as follows: Age  $\geq 18$  y, voluntary, literate, having URTI symptoms for less than 3 d and have no previous medical treatment for URTI symptoms. Local ethics committee approval for the study was obtained from the Eskişehir Osmangazi University Clinical Investigations Ethics Committee (80558721/28, No. 09, February 08, 2016). All data were statistically analyzed. This study was performed between February 15, 2016-May 27, 2016. Acute pharyngitis (bacterial and viral) and acute tonsillitis were included under the general heading of Upper Respiratory Infections. Acute otitis media and acute sinusitis were not included. Patients meeting inclusion criteria signed informed consent forms. Then, physicians filled out a questionnaire, prepared by the research group. Patients were invited for control examination 10 d later and a control form was filled. Differences in therapeutic approaches and treatment costs were compared for both algorithm using and not using physician's groups. At the end of the study, participant physicians were asked to answer a 12-question survey to assess their therapeutic approach to URTI. Information from current drug prices list and active ingredients table were matched to survey data.

**Algorithm:** We evaluated centor and McIsaac criteria and to provide a broader perspective we developed a new table by adding clinical symptoms of viral infections. 20 points were given for each finding listed in the McIsaac criteria. An age score was obtained by subtracting patient's age from 20. In addition, a total of 20 points, the equivalent of 1 criteria for viral symptoms, was added. Physicians of algorithm group were advised to start antibiotic treatment in scores above 60. However, they were free to use any treatment including antibiotics even for scores below 60 (Figure 1).

		Clinical features	McIsaac Score
URT	<input type="checkbox"/>	Runny nose, nasal congestion, sneezing, smell disorder (-4)	Fever $> 38$ °C (+20)
	<input type="checkbox"/>	Hoarseness (-4)	Absence of cough (+20)
	<input type="checkbox"/>	Cough (-3)	Tonsillary exudate (+20)
	<input type="checkbox"/>	Conjunctivitis (-3)	Tender anterior cervical lymph nodes (+20)
	<input type="checkbox"/>	Diarrhea (-3)	(20 - age of patient)
	<input type="checkbox"/>	Oropharyngeal ulcers (-3)	
		TOTAL	TOTAL

Figure 1. Algorithm showing clinical features and McIsaac score.

## Statistical analysis

In our study, frequency tables, mean and Standard Deviation (SD) and for comparisons Pearson chi-square, the Fisher exact test, t-test and logistic regression analysis were used.

## Results

A total of 460 patients were included to study. Of all cases 64.6% (n=297) were included to Algorithm group and 35.4% (n=163) were included to control group. 37.6% of patients in the study were male (n=173), 62.4% (n=287) were female and the average age was 38.3 y (SD=14.8) (37.4 y (SD=13.8) for females, 39.7 y (SD=16.1) for males). The average educational period of patients was 9.5 y (SD=4.3) (9.2 y (SD=4.4) for females; 10.0 y (SD=4.1) for males). Working status of patients were as full-time in 32% (n=147), part-time in 2% (n=9) and non-working in 66.1% (n=304). The most commonly identified

occupational group was housewives. Some features observed in control visits were compared with antibiotic usage among groups (Table 1).

There was no significant difference both in terms of criteria scores and between groups. When antibiotic prescribing rates were compared between the groups, significantly fewer antibiotics were prescribed in the algorithm group (Table 2).

Table 1.

Group		Compliance treatment		with Continuation of the Admitting to another		Start antibiotic on its own			
		Yes	No	Yes	No	Yes	No		
Algorithm	60>Antibiotic treatment initiated	11	0	0	11	0	11	0	11
	60<Antibiotic treatment initiated	12	0	2	10	1	11	0	12
	60<Antibiotic treatment is not initiated	266	8	38	236	13	261	6	268
Control	Antibiotic treatment initiated	63	2	11	54	2	63	2	63
	Antibiotic treatment is not initiated	97	1	21	77	2	96	1	97
Total		449	11	72	388	18	442	9	451

Table 2. Antibiotics use in groups.

Antibiotics use		Group		
		Algorithm	Control	Total
Yes	Count	23	65	88
	%	0.077	0.399	0.191
No	Count	274	98	372
	%	0.923	0.601	0.809
Total	Count	297	163	460
	%	1	1	1

Note: p=0.000 Pearson chi-square.

While there was a significant inverse relationship between the presence of a cough and antibiotic prescribing in algorithm group, no such relation was observed in control group. High body temperature was more likely to affect antibiotic prescribing in algorithm group. While a sore throat was significantly affecting antibiotic prescribing in control group, no such relation was observed in the algorithm group. In control visits performed 10 d after initiation of treatment, 97.6% of cases (n=449) were compliant with treatment and 84.3% (n=388) were reported relieved symptoms. There was no significant difference between compliance to treatment and antibiotic prescribing with antibiotic prescribing and case groups.

**Patient behaviors in URTI**

Among patients, only 2.4% (n=11) performed body temperature measurement at home. Post-treatment body temperature measurement rates did not change. 3.9% of cases

Antibiotic prescribing rates in algorithm group patients with body temperature levels below 38°C were found lower than that of the control group. Although antibiotics were prescribed to all patients of control group having body temperature levels above 38°C, antibiotics were not prescribed to some patients in algorithm group (Table 3).

(n=18) admitted to a different physician. There was no significant difference between groups for admitting to a different physician (chi-square p=232). At 10<sup>th</sup> d visits 5.4% of cases (n=25) used drugs other than recommended. Half of these drugs were antibiotics (n=12), and almost 1/3 of people who recommended these drugs were not doctors (n=7). Of cases 18.9%, mostly female patients (chi-square p=0.032), used alternative treatments. Variables like education level, marital status and high fever did not affect the use of alternative treatments. There was no difference between groups in terms of using alternative treatments. Alternative treatment using rates were found higher in cases with persisting symptoms (chi-square p=0.001). These alternative treatments were composed of herbal teas, especially linden.

**Physician behaviors in URTI**

To the second survey, 31 physicians were participated. The average age of physicians is 41.8 (SD=4.8), 48.4% were male

(n=15), 51.6% were female (n=16). Among participants 77.4% (n=24) were married, 12.9% (n=4) were single and 9.7% (n=3) were divorced; 54.8% (n=17) were graduated from Osmangazi University. 29% (n=9) were specialist doctors, the mean

duration of medical practice was 16.3 y (SD=4.8), average duration of primary care practice was 13.0 (SD=6.7) y. Average number of patients examined per y was 9890 (SD=2046). Ranking of URTI symptoms are shown in Table 4.

**Table 3.** Comparison of antibiotic prescribing and baseline temperature measurements.

Group			Temperature measurement		Total
			38+	38-	
Algorithm <sup>a</sup>	Antibiotic	Given	16	4	20
		Not given	8	162	170
	Total	24	166	190	
Control <sup>b</sup>	Antibiotic	Given	10	35	45
		Not given	0	64	64
	Total	10	99	109	
Total <sup>c</sup>	Antibiotic	Given	26	39	65
		Not given	8	226	234
	Total	34	265	299	

Note: <sup>a</sup>(Fisher exact test) p=0.000, <sup>b</sup>(Fisher exact test) p=0.000, <sup>c</sup>(Chi-square) p=0.000.

**Table 4.** URTI symptom ranking of patients.

Symptom	1 <sup>st</sup> line	2 <sup>nd</sup> line	3 <sup>rd</sup> line	4 <sup>th</sup> line	5 <sup>th</sup> line	Total	% study
Nasal Discharge	24					24	24.1
Sore throat	6	22	1			29	48
Cough		5	17	1	1	24	27
Fever		3	3	8		14	8.3
Stuffiness		1	2	4	12	19	9.1

The symptoms observed by the physicians and derived by the study were consistent. Top 3 antibiotics preferred by physicians were shown in Table 5.

**Table 5.** The first 3 ranks of antibiotic preferences of the participating physicians.

Antibiotic	1 <sup>st</sup> choice	2 <sup>nd</sup> choice	3 <sup>rd</sup> choice	Total
Amoxicillin and clavulanic Acid	22	4	2	28
Oral penicillin	3	11	2	16
Parenteral penicillin	2	2	1	5
Cephalosporin		9	9	18
Ampicillin sulbactam			8	8

The most commonly preferred supportive treatments were anti-influenza combinations (n=26), followed by analgesics and antipyretics (n=20), and oral rinse/spray (n=17). Only one physician recommended herbal tea as an alternative treatment.

The most commonly reported URTI symptoms were nasal congestion (n=30), sneezing (n=26), cough (n=25), serous nasal discharge (n=23) and headache (n=14). Injectable preparations were preferred in 1.6% of all patients. In all groups, preferred drug forms for an average prescription were 1.60 (n=662) tablet/capsule, 0.43 (n=179) syrup, 0.15 (n=66) gargle and 0.45 (n=186) spray form. Each prescription contained an average of 2.8 drugs. Due to the use of combination drugs, containing more than one active ingredient, the number of active ingredients per prescription was found as 5.87. The number of drugs and active ingredients per prescription was 2.59 drugs and 5.50 active ingredients for algorithm group; 3.10 drugs and 6.43 active ingredients for the control group. The most commonly prescribed agents are shown in Table 6. The average recipe price for the entire study was 19.9 TL (SD=14.6). The average of prescription costs was found significantly lower in the algorithm group. Algorithm groups average prescription cost is 15.4 TL and control groups average prescription cost is 26.9 TL.

**Table 6.** Sorting of the active ingredients in the patient's prescriptions.

	Active ingredient	Count	%
1.	Paracetamol	295	71
2.	Pseudoephedrine HCL	242	58
3.	Chlorpheniramine Maleate	144	34
4.	Benzydamine HCL	143	34
5.	Ibuprofen	121	29
6.	Chlorhexidine Gluconate	116	28
7.	Phenylephrine HCL	83	20
8.	Dextromethorphan HBR	79	19
9.	Butamirate Citrate	55	13
10.	Acetylcysteine	51	12
11.	Levodropropizine	48	11
12.	Amoxicillin-Clavulanic Acid	42	10
13.	Caffeine	38	9
14.	Flurbiprofen	36	8
15.	Iopamidol HCL	33	7
16.	Acetylsalicylic Acid <sup>a</sup>	29	7
17.	Vitamin C <sup>b</sup>	19	4
18.	Cefuroxime Axetil <sup>c</sup>	9	2
19.	Cefdinir <sup>c</sup>	7	1

Note: In 413 patients where medication and active substance were entered as treatment, the total percentage was not taken into account as more than one active substance was entered; <sup>a</sup>Fewer used substances were not included in the list; <sup>b</sup>Number of vitamin; <sup>c</sup>The first three most frequently used antibiotics were included in the list.

## Discussion

Because of its viral origin, the absence of specific treatment beyond the control of symptoms, and a small number of patients that will benefit from antibiotic treatment, URTI has been emerging as an issue to focus on evidence-based management and rational drug use in primary care practice [13]. While we were planning this study, we intended to distribute physicians equally to groups. However, because of unequal numbers of physicians working in family medicine health care centers, and to prevent interaction between physicians in health care center, equal numbers of physicians in algorithm and control group could not be achieved. Also, because of the limited period of study, the numbers of patients followed by each physician were not equal. The number of participants was also affected by changing number of patients admitting for control visits, taking drugs which were not recommended a by physician however that could change patient's clinic presentation. These patients were excluded from the study. Despite these limitations, there was no difference in socio-demographic and baseline clinical features between the groups. Although literature states that the majority

of URTI are viral, field works show much more antibiotic usage [14]. Serious side effects of streptococcal pharyngitis affect both antibiotic usage and algorithms in URTI. Both centor and McIsaac criteria do not include viral symptoms. Mistik et al. used fever below 37.5°C and absence of tonsillar exudate as viral markers [15]. In our study, we create a negative effect by converting age criteria of McIsaac to continuous variable and viral markers to 20 points equivalent to 1 criteria. In our own scoring by not exceeding 20 points for 6 viral parameters, we remained at the McIsaac scoring limits. Although we defined the algorithm as a guideline that should be taken into consideration by the physician's decision mechanisms, not a necessity, the antibiotic prescription rate was 7.7% in the algorithm group. This ratio was 39.9% in the control group. As it is seen, the rate of antibiotic treatment of the algorithm group is 19% of the control group. The URTI, which is the most frequent cause of referral to family health centers, is naturally the most frequent cause of prescribing [16]. In our study, prescription fees were also compared. Algorithm group showed a significant decrease in prescription costs, and the most important factor affecting these prescription costs is the presence of antibiotics.

## Conclusions

With this study, it is shown that 39.9% of antibiotic prescription rates of control group decreases to 7.7% (80% decreases) with the use of an algorithm with similar clinical results at 10<sup>th</sup> d visits. The given algorithm directly affected the antibiotic preference. Predominant factors affecting antibiotic prescription are the absence of cough and presence of high fever in algorithm group, sore throat in control group. The antibiotic prescription also directly affected the prescription price, and the average prescription price of the control group was found significantly higher. Although there are guidelines in the primary care practice, this behavioural change after a single training suggests that more training are necessary and application of record-based algorithm systems is required.

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**\*Correspondence to**

Hüseyin Balcioglu

Department of Family Medicine

Eskişehir Osmangazi University

Eskişehir

Turkey