Methodology used for collecting saliva of preterm newborns to measure cortisol: Systematic review

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Abstract

Objective: Systematically analyze the methods used by clinical trials published in the last 10 years to collect preterm newborn saliva to measure cortisol.

Method: Three databases PubMed, Scopus and Embase were searched in December 2016. Two researchers independently selected studies by title, and the abstracts of the selected studies were read to determine which ones met the inclusion criteria. All clinical trials of hospitalized preterm newborns that collected saliva to measure salivary cortisol were included.

Results: Two types of methods were used for collecting saliva: aspiration or absorption, using absorbent materials. All nine aspiration-based studies and the 24 absorption-based studies managed to measure salivary cortisol in at least some samples. Twenty-one studies reported losing some samples. The most common reasons for sample losses were insufficient saliva. Meta-regression (meta-analysis) and random effects model investigated possible relationships between percentage of sample losses and the following variables at a significance level of 5%: collection method, collection material, collection time and type of assay. Aspiration resulted in fewer sample losses than absorption (p<0.0001). However, only 33.3% (3 of 9) of aspiration-based studies reported the number of sample losses against 81.8% (18 of 22) of absorption-based studies. The most used assays to measure salivary cortisol were ELISA and radioimmunoassay.

Conclusion: Salivary cortisol measurement in preterm newborns may be useful for assessing and comparing the level of stress generated or relieved by different stimuli. Saliva collection methods have not been standardized, preventing the reproduction of studies that use these methods.

procedures.

Keywords: Salivary cortisol, Preterm, newborn.

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Introduction

Although the inherent care provided by a neonatal intensive care unit (NICU) stresses preterm newborns (PTNs), it is critical for their survival [1]. Studies have searched for alternative and complementary therapies, such as music therapy, tactile-kinesthetic stimulation, kangaroo care, and skin-to-skin contact, to the standard treatment to reduce factors that cause tension in an NICU [2-5]. Salivary cortisol level, which can be detected already in the first week of life, increases in individuals experiencing adverse situations, and PTNs have higher salivary cortisol level

than term newborns [6,7]. In order to assess the efficacy

of alternative and complementary therapies, noninvasive

tests, such as PTN salivary cortisol measurement, have

been performed [8-12]. Noninvasive methods that

measure cortisol to possibly determine newborns' level of

discomfort may be used to assess routine and new NICU

Despite the possible benefits of this type of assessment in newborns, studies discuss whether salivary cortisol is indeed associated with newborn's stress and plasma cortisol levels [13,14]. Studies that performed salivary cortisol measurements used different methodologies. The objective of this review was to systematically analyze the methods used by clinical trials published in the last 10 years to collect PTNs' saliva to measure cortisol, to determine the most successful methods for collecting saliva and to list the advantages and disadvantages of each method.

Method

Three databases, PubMed (United States National Library of Medicine of the National Institutes of Health), Scopus, and Embase (Excerpta Medica Database) were searched in December 2016 using the keywords "salivary cortisol" AND "premature." This was the combination of keywords that returned the highest number of studies in each database.

A database was created using the software EndNote, which manages references, and the data were judiciously stored in folders. Two researchers independently selected studies by title and the abstracts of the selected studies were read to determine which ones met the inclusion criteria. If the researchers disagreed, the study was saved to be read in full.

Selection Criteria

All studies found in the abovementioned databases that collected saliva from PTNs were selected.

Inclusion Criteria

All clinical trials of hospitalized PTNs that collected saliva to measure salivary cortisol published in the last 10 years in Portuguese, English or Spanish were included.

Exclusion Criteria

Studies that collected saliva after the newborn left the hospital, posters, studies that measured cortisol in term newborns, and studies in languages other than those mentioned above were excluded.

Results

The keywords "salivary cortisol" AND "premature" returned 235 studies, 86 from PubMed, 69 from Embase and 80 from Scopus. Repeated studies were eliminated, resulting in 152 studies for title assessment. Two researchers performed all inclusion and exclusion procedures independently.

Forty-five articles were excluded based on their titles, and later, another 35 studies were excluded based on their abstracts. Twenty-one studies of the remaining 72 were excluded because they had been published before December 2006. Thus, 51 studies were read in full, of which 32 complied with the inclusion and exclusion criteria. Nineteen studies were eliminated during this phase for the following reasons: five did not collect saliva during hospital stay, four did not collect saliva from PTNs, two did not measure salivary cortisol, only plasma cortisol, three were presented as posters, two only collected maternal saliva (n=2), one was published in Persian, and the whole text of two was not found (Figure 1) [15-33]. One more study listed in the references of one of the reviewed studies was included [13]. In summary, 235 articles were found in the three researched databases, and after elimination of the duplicates and application of the inclusion and exclusion criteria, 33 studies remained (Table 1).

Two types of methods were used for collecting PTNs' saliva: aspiration or absorption, using absorbent materials. Aspiration was performed with a pipette, syringe connected to a plastic tube, needleless push button blood collection set or probe or tracheal suction set [8,9,11,34-40]. The absorbent materials used for absorbing the newborns' saliva were swabs or cotton buds of different brands and models, filter paper or sterile dental cotton roll tied with a dental floss [6,10-14,37,41-57]. One of the studies used both collection methods, swab and aspiration and one did not specify how PTN saliva was collected [37,58].

Only one of the studies that specified saliva extraction method did not use centrifugation. That study collected saliva with a sterile dental cotton roll and extracted the saliva by placing the roll inside a 5 ml syringe and pressing the plunger to expel the saliva [57].

Collection time varied between studies. Aspiration-based studies did not inform the duration of the procedure, and only two studies reported the amount of collected saliva



Figure 1: Flowchart

Autor/Ano	Title	Objectives
Soliman et al. 2016 [8]	Does Topical Lidocaine Reduce the Pain Associated With the Insertion of Nasal Continuous Positive Airway Pressure Prongs in Preterm Infants? A Randomized, Controlled Pilot Trial	in reducing the pain associated with the insertion of nasal continuous positive airway pressure (nCPAP) prongs in preterm infants
Castral et al. 2015 [9]	Maternal mood and concordant maternal and infant salivary cortisol during heel lance while in kangaroo care	
Moore et al. 2015 [10]	Comparison of cortisol samples in the first two weeks of life in preterm infants	To examine cortisol samples for usability, associations, and individual stability in neonates
Osman et al. 2015 [11]	Assessment of pain during application of nasal- continuous positive airway pressure and heated, humidified high-flow nasal cannula in preterm infants	To assess pain and its severity in preterm infants during application of nCPAP and HHHFNC
	Live music reduces stress levels in very low-birth weight infants	To evaluate the effect of live harp music on the stress level indicators of preterm infants in a neonatal intensive care unit
Badiee et al. 2014 [34]	Co-bedding of twin premature infants: calming effects on pain responses	To evaluate the effect of live harp music on the stress level indicators of preterm infants in a neonatal intensive care unit
Campbell- Yeo et al. 2014 [41]	Co-bedding between preterm twins attenuates stress response after heel lance results of a randomized trial	To determine whether co-bedding of preterm twins has analgesic effects during heel lancing or not
Candia et al. 2014 [35]	Influence of prone positioning on premature newborn infant stress assessed by means of salivary cortisol measurement: Pilot study	
Dorn et al. 2014 [42]	Influence of acoustic stimulation on the circadian and ultradian rhythm of premature infants	To assess the influence of prone positioning on the stress of newborn premature infants through the measurement of the salivary cortisol concentration and the evaluation of physiological and behavioral responses before and after changes in body positioning
Klingenberg et al. 2014 [43]	Patient comfort during treatment with heated humidified high flow nasal cannula versus nasal continuous positive airway pressure: A randomised cross-over trial	To evaluate the development of the circadian rhythm of the salivary cortisol in premature infants and its correlation with the onset of the sleep-activity behavior pattern during the first 3 weeks of life under
Neu et al. 2014 [55]	Effect of holding on co-regulation in preterm infants: A randomized controlled trial	To determine whether kangaroo holding of healthy preterm infants over the first eight weeks of an infant's life facilitates co-regulation of salivary cortisol between mother and infant
Maas et al. 2014 [14]	Relationship of salivary and plasma cortisol levels in preterm infants: Results of a prospective observational study and systematic review of the literature	
Badiee et al. 2013 [36]	The calming effect of maternal breast milk odor on premature infants	To compare the effectiveness of maternal breast milk
Cabral et al. 2013 [39]	Measurement of salivary cortisol as a marker of stress in newborns in a neonatal intensive care unit	

 Table 1. Description of study objectives

Mitchell et al. 2013 [44]	Does daily kangaroo care provide sustained pain and stress relief in preterm infants?	To determine whether stress in preterm infants, measured with salivary cortisol, decreases after five days of KC compared to five days of SC
Moore et al. 2013 [45]	Relations between feeding intolerance and stress biomarkers in preterm infants	To examined feed Intolerance as a stress-related
Ng et al. 2013 [46]	A novel method of collection of saliva for estimation of steroid levels in extremely premature infants	To describe a simple, non-stressful way to obtain saliva samples for cortisol dosing in premature infants
Ribeiro et al. 2013 [38]	Human milk for neonatal pain relief during ophthalmoscopy	To establish the effectiveness of human milk, compared with sucrose for pain relief in premature infants subjected to ophthalmoscopy for early diagnosis
Mitchell et al. 2012 [13]	Challenges, guidelines and systematic review of salivary cortisol research in preterm infants	To determine the feasibility of collecting saliva from neonates in moderate respiratory distress who were between 27 and 30 weeks gestational age
Castral et al. 2012 [58]	Maternal factors regulating preterm infants' responses to pain and stress while in maternal kangaroo care	To investigate the association between maternal factors (behavior, depression and/or anxiety and stress) and the response of newborns to pain and stress when undergoing heel puncture for the neonatal screening test while held in the kC
Ivars et al. 2012 [47]	Nasopharyngeal suctioning does not produce a salivary cortisol reaction in preterm infants	To investigate whether NPS is stressful in CPAP- treated preterm infants and in full-term infants. The primary outcome was salivary cortisol reactivity
	The Stockholm Neonatal Family-Centered Care Study: Effects on salivary cortisol in infants and their mothers	To evaluate the effect of family-centered care on salivary cortisol reactivity in mothers and preterm infants and the correlation between them
Chou et al. 2011 [49]	The relationship of salivary and cord blood cortisol in preterm infants	To explore the use of salivary cortisol as an accurate measure of adrenal steroid production in premature infants, correlation between salivary and serum cortisol in premature infants looms as a possible alternative
Cong et al. 2011 [50]	Randomized crossover trial of kangaroo care to reduce biobehavioral pain responses in preterm infants: A pilot study	Theorem in the standard inclinator care in
Bauer et al. 2009 [40]	Effects of budesonide inhalation on energy expenditure, somatic growth and salivary cortisol levels in preterm infants with chronic lung disease	on EE, somatic growth and adrenal function in preterm infants with CLD
Cignacco et al. 2009 [51]	Variability in pain response to a non-pharmacological intervention across repeated routine pain exposure in preterm infants: a feasibility study	
Neu et al. 2009 [56]	Coregulation in salivary cortisol during maternal holding of premature infants	To examine co-regulation between mothers and preterm infants in HPA activity, as indicated by salivary cortisol levels, while mothers held their infants
Schaffer et al. 2009 [52]	Antenatal betamethasone administration alters stress physiology in healthy neonates	methasone treatment for lung maturation where delivery could be prolonged until or near term
Gibbins et al. 2008 [37]	Pain behaviours in extremely low gestational age infants	To examine the physiological, behavioural and biochemical responses to painful and non painful

	Lower stress responses after newborn individualized	To investigate whether a NICAP during a retinonathy		
	1			
Kleberg et al.	developmental care and assessment program care	of prematurity examination results in less adverse		
2008 [53]	during eye screening examinations for retinopathy of	behavioral, pain and stress responses as compared		
	prematurity: A randomized study	with standard care		
Davis et al.	Antenatal betamethasone treatment has a persisting	To examine the consequences of antenatal		
2006 [54]	influence on infant HPA axis regulation	betamethasone exposure on postnatal stress regulation		
		To investigate whether NICU infants have different		
Morelius et	is a nappy change stressful to neonates?	pattern of stress and pain responses than healthy		
al. 2006 [59]		newborns when challenged by a non-painful everyday		
		care routine		
Ashwood et	Neonatal adrenal function after repeat dose prenatal	Do repeat prenatal corticosteroids suppress neonatal		

al. 2006 [57]corticosteroids: A randomized controlled trialcortisol concentrations?CPAP: Nasal-Continuous Positive Airway Pressure; HL: Heel Lancer; PPDA: Post-Partum Depression and/or Anxiety;HHHFNC: Humidified High-Flow Nasal Cannulae; NICU: Neontal Unit Care; NPS: Nasopharyngeal Suctioning; KC:kangoroo Care; HPA: Hypothalamic-Pituitary-Adrenocortical; ELGA: Extremily Low Gestacional Age; NIDCAP: NewbornIndividualized Developmental Care and Assessment Program; CLD: Chronic Lung Disease

[35,39]. Aspiration pressure was not informed by any study, and only one study reported using "enough negative pressure to suck 0.5 to 1.0 ml of newborn saliva" [38]. Nine absorption-based studies did not inform for how long the absorbent material stayed in the newborn's mouths [2,6,10,14,37,41,47,48,53]. Four studies that provided such information left the material in the newborns' mouths for 5 min [45,50,52,54]; one, for 10 min [43]; one, for at least 20 min [51]; one, for 5 to 10 min [42]; one, for 20 to 25 min [44]; one, for 1 to 2 min [46]; one, for 30 to 60 s [49]; and one left the first 10 samples in the PTNs' mouths for 3 min and the subsequent 53 samples, for 20 min [13].

All nine aspiration-based studies and the 24 absorptionbased studies managed to measure salivary cortisol in at least some samples. Two studies omitted the results because their samples did not have enough saliva [37,43].

Twenty-one studies reported losing some samples [6,8,10-14,35,37,41-46,48,51,53,55-57]. The most common reasons for sample losses were insufficient saliva and/or contamination with blood [6,8,10-14,37,41-46,48,51,53,55-57]. Only one study lost samples due to the viscosity of the absorbent material [35]. The percentage of sample losses due to insufficient saliva ranged from 0% to 90%. Of the studies that specified collection method and reported the total number of sample losses, three used aspiration and 18 used absorbent materials. Six aspiration-based studies and four absorption-based studies did not inform whether any samples were lost or the number of sample losses (Table 2).

This group of studies (three that used aspiration and 18 that used absorbent materials) made 181 and 3560 attempts to collect saliva using aspiration and absorbent materials, respectively. Aspiration-based studies lost fewer samples than absorption-based studies, regardless of assay method. The measures of central tendency of the percentage of aspiration-based sample losses were 15.19% (mean) and 11.66% (median), and of absorption-based sample losses were 23.91% (mean) and 15.92% (median). However, only 33.3% (3 of 9) of aspiration-based studies reported the number of sample losses against 81.8% (18 of 22) of absorption-based studies.

Meta-regression (meta-analysis) and random effects model investigated possible relationships between percentage of sample losses and the following variables at a significance level of 5%: collection method, collection material, collection time, and type of assay (Table 3). Aspiration resulted in fewer sample losses than absorption (p<0.0001).

The studies used the following assays to measure salivary cortisol: radioimmunoassay, enzyme-linked immunosorbent assay (ELISA), liquid chromatographymass spectrometry, electrochemiluminescence and fluorescent immunoassay [6,8-14,34,36-39,41-57].

Inspection of the oral mucosa before saliva collection was one of the measures taken by the studies to avoid sample contamination with blood [46]. Three studies collected saliva 30 to 60 minutes after feeding to avoid sample contamination with milk [6,8,9,11,12,35,39,40,42,46-48,57]. Two studies cleaned the oral cavity before saliva collection, and one study cleaned the oral cavity and collected a second saliva sample if the first sample appeared contaminated with blood [35,45,57]. Only one study did not exclude samples contaminated with blood or milk [2].

Discussion

The present review, which included studies published in the last ten years, aimed to help future studies by describing saliva collection methods, collection time, collection materials and the success rates of each method. The objective of most reviewed studies was to compare the level of stress in PTNs undergoing different therapies (Table 1). Salivary cortisol measurement may be a useful tool for assessing the cost-benefit ratio of some relaxation methods and the effects of stress caused by the NICU routine (heel lance, diaper change) [6,9,34,41].

The main objective of Ng et al. [45] was to describe a new methodology to collect PTNs' saliva to measure cortisol.

Author/Year	Collection Method	Material Used	Collection Time	Assay	% of Samples Lost	Total Number of Samples
Soliman et al. 2016 [8]	Aspiration	500 µl pipette	_	ELISA	11.66%	60
Castral et al. 2015 [9]	Aspiration	Plastic tube connected to needleless syringe	-	RIE	-	-
Moore et al. 2015 [10]	Absorbent material	Pediatric swab	5 min	RIE	14.13%	93
Osman et al. 2015 [11]	Aspiration	500 µl pipette	-	ELISA	10.12%	79
Schwilling 2015 et al. [12]	Absorbent material	Swab split in 4	-	2D-LC- MS/MS	8.88%	180
Badiee et al. 2014 [34]	Aspiration	2 ml syringe	-	ELISA	-	200
Campbell et al. 2014 [41]	Absorbent material	Swab	-	ELISA	26.67%	129
Candia et al. 2014 [35]	Aspiration	10 ml syringe connected to a needleless flexible intravenous catheter (18)	-	ECL	23.80%	42
Dorn et al. 2014 [42]	Absorbent material	Swab	5 to 10 min	ELISA	35%	422
Klingenberg et al. 2014 [43]	Absorbent material	Swab	10 min	RIE	86.25%	80
Neu 2014 [55]	Absorbent material	Filter paper	30 s to 2 min	ELISA	3.8%	711
Maas et al. 2014 [14]	Absorbent material	Eye sponge	-	ELISA	48.27%	58
Badiee et al. 2013 [36]	Aspiration	2 ml syringe	-	ELISA	-	100
Cabral et al. 2013 [39]	Aspiration	Aspiration probe no. 4 Levine and a 5 ml syringe	-	RIE	-	40
Mitchell et al. 2013 [44]	Absorbent material	Eye sponge	3 min first 10 samples and 20 min the next 53 samples	ELISA	36.84%	76
Moore et al. 2013 [45]	Absorbent material	Pediatric swab	5 min	ELISA	13.98%	93
Ng et al. 2013 [46]	Absorbent material	Swab	1 to 2 min	ELISA	15%	195
Ribeiro et al. 2013 [58]	Aspiration	Needleless push button blood collection set no. 21 connected to a 3 ml syringe	-	RIE	-	-
Mitchell et al. 2012 [13]	Absorbent material	Filter paper	10 samples for 3 min 53 samples for 20 min	ELISA	3 min=70% 20 min=17%	3 min=10 20 min=53
Castral et al. 2012 [58]	Does not specify	-	-	-	-	-
Ivars et al. 2012 [47]	Absorbent material	Cotton buds	-	RIE	0%	44

Table 2. Description of collection method, material, assay and % of sample lost

Morelius et al. 2012 [48]	Absorbent material	Cotton buds	-	RIE	15.916%	578
Chou et al. 2011 [49]	Absorbent material	Cotton swab split in 2	30 to 60 s	RIE	-	-
Cong et al. 2011 [50]	Absorbent material	Swab	4 to 5 min	RIE	-	-
Bauer et al. 2009 [40]	Aspiration	Tracheal suction set	-	-	-	-
Cignacco et al. 2009 [50]	Absorbent material	Oral Swab	20 min	RIE	1.11%	90
Neu et al. 2009 [56]	Absorbent material	Filter paper folded in half	30 s to 3 min	ELISA	5%	40
Schaffer et al. 2009 [52]	Absorbent material	Swab	5 min	EMCL	-	-
Gibbins et al. 2008 [37]	Absorbent material+Aspiration	Swab Qtip and aspiration with syringe	-	RIE	90%	40
Kleberg et al. 2008 [53]	Absorbent material	Cotton bud	-	RIE	25%	310
Davis et al. 2006 [54]	Absorbent material	Swab Qtip	5 min	DELFIA	-	-
Morelius 2006 [59]	Absorbent material	Cotton buds	-	RIE	Diaper change 1:15.4% Diaper change 2:18%	78
Ashwood 2006	Absorbent material	Dental cotton roll tied by dental floss	10 to 20 min	ELISA	15%	320

Although saliva collection is generally regarded as noninvasive, the local research ethics committee that approved said study considered suction invasive as it could injure PTN mucous membranes, which are extremely fragile, and contaminate the sample with blood. Another possible disadvantage of aspiration has to control suction pressure. None of the reviewed studies described the pressure parameters used. However, 9 of the 33 reviewed studies used aspiration, and none reported complications, such as mucosal injury during the procedure. On the other hand, two of the three studies that excluded samples contaminated with blood were aspiration based [8,11]. Unlike suction, the method described by Ng et al. [45] used swabs, which was well tolerated by PTNs as they did not demonstrate any sign of discomfort during collection. The authors found no adverse effects and 85% of the samples contained an adequate amount of saliva [46].

Absorbent material was the method of choice for saliva collection, used by 23 of the 33 reviewed studies. The materials included cotton buds, pediatric swabs or eye sponges of various brands [10,14,44,45]. The authors of two studies split the swab in four parts or in half, attaching it to a cotton bud to reduce its size and fit more comfortably in the PTNs' mouths [2,49]. Other absorbent materials used by the studies were filter paper and sterile dental cotton rolls [13,55,56,57].

Morelius et al. [58] assessed plastic and wooden shaft swabs immediately after collection, 24 h after collection and 48 h after collection. Mean salivary cortisol was significantly lower in wooden shaft swabs 24 h (40%, p 0.001) and 48 h (49%, p 0.001) after collection than in wooden shaft swabs immediately after collection. However, the cortisol levels in samples collected with plastic shaft swabs did not differ. Hence, the authors recommend not to use both types of swabs in the same trial and to avoid using wooden shaft swabs as wood may absorb cortisol [59].

Twenty-one studies lost some samples due to insufficient saliva and/or blood contamination. Sometimes collecting an adequate amount of saliva is a challenge as the mucosa of some PTNs is extremely dry, especially in PTNs receiving anticholinergic agents, that is, mydriatic agents [42,53]. There is also loss caused by evaporation while the sample is frozen for storage or thawed for analysis [42].

Most studies used centrifugation to extract saliva from the absorbent materials. Such studies used Eppendorf[®] tubes or Salimetrics[®] tubes, which contain a separate compartment to store saliva and keep it separated from the absorbent material after centrifugation [2,10,41,42]. Ashwood et al. [56] used sterile dental cotton rolls to absorb saliva and then extracted the saliva by placing the roll inside a 5 ml syringe barrel and pressing the plunger top.

Inadequate saliva volume for the assay, the most common cause of sample loss, may be directly related to the type of assay chosen to measure cortisol as different assays require different amounts of sample. Studies that use assays that require smaller volumes are less likely to lose samples due to inadequate saliva volume. An advantage of using aspiration is the possibility of placing the saliva in

Variables	P-value	Effect Size
Collection Method	< 0.0001	0.1742
Collection Material	0.2213	0.2385
Type of Assay	0.0122	0.2163
Collection Time	0.0632	0.123

Table 3. Evaluation of % loss according to the studied factors(type, material, essay and collection time) - Meta regression

a graduated container, which allows immediate awareness of the amount collected. Thus, collection time may be increased until the necessary amount is collected. On the other hand, saliva collected by absorbent materials can only be quantified after centrifugation or extraction by some other means, which is usually done some time after collection. None of the reviewed aspiration-based studies reported collection time while 68.2% (15 out of 22) of the absorption-based studies did (Table 2).

Some studies have not found an association between plasma and salivary cortisol levels, but other studies have [7,10,45,49,50]. Regardless of this correlation, salivary cortisol increases in individuals submitted to stressful situations [7]. Moreover, almost all studies reviewed herein managed to measure cortisol in at least part of the samples, regardless of saliva collection method, suggesting that this procedure is useful but not yet standardized.

Limitations

Although three databases were searched, this review may have missed studies compliant with the inclusion and exclusion criteria. The data in some reviewed studies may have been misinterpreted as they were not clear.

This review only included studies published in the last 10 years because collection materials have changed significantly and many studies were published during this period. Thus, earlier studies may contain important data not included herein.

Conclusion

Salivary cortisol measurement in preterm newborns may be useful for assessing and comparing the level of stress generated or relieved by different stimuli. Saliva collection methods have not been standardized, preventing the reproduction of studies that use these methods.

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