

## **Echocardiographic evaluation of right and left heart findings according to the severity of the disease in stable chronic obstructive pulmonary disease.**

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### **Abstract**

**Introduction:** Chronic Obstructive Pulmonary Disease (COPD) is seen alongside some systemic diseases that result in impaired functional capacity, worsening dyspnea, decreased health-related quality of life and increased mortality. Cardiovascular diseases, considering their effect on mortality, play an important role in such diseases.

**Objective:** The aim of this study to evaluate the cardiac changes that develop secondary to COPD and attempt to identify any correlation between the severities of the disease determined using GOLD guidelines and ECHO findings.

**Method:** This prospective study involved 32 male patients who presented to the pulmonary disease outpatient clinic with stable COPD. We compared the severity of COPD with the ECO findings of the patients.

**Findings:** Correlations were found between the severity of COPD and heart rate, the number of cigarettes smoked and the respiratory function test results. Measurable tricuspid regurgitation was detected in 26 patients (26/32=81.2%), and the degree of tricuspid regurgitation according to the severity of COPD.

**Conclusion:** We suggest that cardiac influences should be diagnosed early in the course of the disease, and that treatment be started to decrease the rates of mortality and morbidity in patients with COPD.

**Keywords:** Echocardiography, Chronic obstructive pulmonary disease, Right ventricle outflow, Ejection fraction.

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### **Introduction**

Chronic Obstructive Pulmonary Disease (COPD) has been defined as a disease that develops as a result of the abnormal inflammatory response of the lungs to the harmful particles or gasses, although the effects of systemic inflammation may also be seen [1]. COPD is a significant cause of mortality and morbidity with increasing incidence around the world. COPD was the 5<sup>th</sup> leading cause of death in 2002, according to the World Health Organization (WHO), and is estimated to become the 4<sup>th</sup> leading cause of death by 2030 [2]. COPD is also seen alongside some systemic diseases that result in impaired functional capacity, worsening dyspnea, decreased health-related quality of life and increased mortality. Cardiovascular diseases, considering their effect on mortality, play an important role in such diseases.

The association between COPD and the development of cardiovascular disease can be evaluated in two groups. First, related to the presence of common risk factors, such as cigarette smoking, advanced age and gender, and second, related to ventricular dysfunction due to increased intrathoracic pressure and the deterioration of cardiac function due to primary lung disease, such as pulmonary hypertension.

Echocardiography (ECHO) is a quick, non-invasive and a relatively accurate means of evaluation right ventricle function, left ventricular filling pressure, tricuspid failure, left ventricle function and valve function. In the present study, we use ECHO to evaluate the cardiac changes that develop secondary to COPD and attempt to identify any correlation between the severities of the disease determined using GOLD guidelines and ECHO findings.

### **Patients and Methods**

This prospective study involved 32 male patients who presented to the pulmonary disease outpatient clinic with stable COPD between February 2017 and November 2017. Informed consent was obtained from all patients, and this study was approved by the local ethics committee.

The diagnosis of COPD was based on a history of 10 packs/year cigarette smoking, symptoms suggestive of COPD, physical examination findings, radiographic findings and spirometric measurements. Spirometry was performed on all patients in line with the American Respiratory Society-European Respiratory Society guidelines and using a Masterscope JLAB V5.22.1.50 (Cardinal Health, Germany, Hoechberg, 2006) spirometry system. Forced expiratory

volume was obtained in the first second (FEV1), and Forced Vital Capacity (FVC), FEV1/FVC ratio and mean forced expiratory flow at 25-75% (FEF% 25-75) were obtained. All spirometric tests were conducted by a technician who was blind to the echocardiographic findings. The patients were divided into four groups in line with the guidelines of Global Initiative for Chronic Obstructive Lung Disease (GOLD) as mild ( $FEV1 \geq 80\%$  expected value), intermediate ( $50\% \leq FEV1 < 80\%$  expected value), severe ( $30\% \leq FEV1 < 50\%$  expected value) and very severe ( $FEV1 < 30\%$  expected value), provided that the expected post-bronchodilator FEV1/FVC ratio was less than 70% [3].

Patients with tachycardia, arrhythmia, valvular cardiac disease, coronary artery disease and any systemic or cardiac diseases that may cause pulmonary hypertension (e.g. tricuspid valve disorder, left ventricular dysfunction), any malignant tumors, head and neck abnormalities, patients with exacerbations in the last four weeks, patients with a weak echo window and patients who could not perform spirometry were excluded from this study.

A two-dimensional transthoracic Doppler echocardiography was performed on all patients while in the left lateral lying position using a Vivid 7 device (GE Vingmed, US) and a 2.5 MHz transducer, with the same cardiologist making all measurements who was blind to the respiratory function test findings of the patients. All measurements were performed during at least three consecutive cardiac cycles and normal respiration and at the end of expiration.

Right atrial volume was measured using the Modified Simpson's technique in an apical window and four-chamber view. The S, E and A of the free wall of the right ventricle was measured, and the E/A ratio was calculated.

Pulmonary Artery Systolic Pressure (SPAP) was determined through a continuous wave Doppler evaluation of tricuspid insufficiency [4,5], while peak flow was measured from the pulmonary valve flow record, and Pulmonary Acceleration Time (PAT) was measured as the time between the initiation and the peak of the flow. Tricuspid Annular Plane Systolic Excursion (TAPSE) and RV longer axis function were recorded from a four-apical chamber view obtained by an M-mode probe placed on the free wall angle of the tricuspid valve. The distance between the tricuspid ring and RV apex was measured at the end of the diastole and systole of the same cardiac cycle, and TAPSE was calculated as the difference between the end-diastolic and end-systolic measurements (in mm) [6].

**Table 1.** Distribution of demographics by severity of COPD.

Severity of COPD	Mild (n=4)	Intermediate (n=14)	Severe (n=10)	Very severe (n=4)	p-value
Age (y)	65.0 ± 9.8	63.1 ± 10.5	61.8 ± 5.9	60.5 ± 11.3	0.4
BMI (kg/m <sup>2</sup> )	29.5 ± 6.4	28.2 ± 6.9	27.7 ± 5.6	25.3 ± 7.8	0.5
Heart rate (bpm)	75.5 ± 7.7	76.8 ± 3.8	81.5 ± 4.2	86.3 ± 7.2	0.001
Cigarette Smoking (packs/y)	36.5 ± 17.3	41.9 ± 16.1	32.5 ± 16.5	61.3 ± 59.5	≤ 0.05

For the calculation of the values of the dimension of the Right Ventricle Outflow Tract (RVOT) and RVOT Fractional Shortening (RVOT-FS), two-dimensional echocardiograms of the parasternal short axis view at the aortic root level were used. End-diastolic (at the start of the Q wave) and end systolic (at the end of the T wave) diameters were measured using the M-mode records of RVOT. RVOT-FS was calculated as the percentage decrease in the diastolic RVOT diameter using the same M-mode images reported by Lindqvist et al. [7]. Furthermore, the tricuspid S-wave was calculated using tissue Doppler images of the lateral wall of the tricuspid valve as an indicator of the systolic function of the right ventricle.

E waves were calculated as a marker of RV diastolic functions, A waves and deceleration times were calculated using the tricuspid inflow pulse waves, and E' waves were calculated from the A' waves and the tissue Doppler images obtained at the level of the lateral annulus [8]. Left ventricular systolic function was evaluated by measuring the ejection fraction using the Teichholz method. EF (Ejection Fraction) was calculated as the measurement of the end diastolic value leaving the LV (56-78%) during each contraction.

### Statistical analysis

The data were analysed using the SPSS Package Program Version 20.0 (IBM Corporation, Armonk, New York, US). Descriptive data were presented in numbers, percentages, standard deviation, median, minimum and maximum values. A Chi-Square Test was used in the analysis of categorical variables, while continuous variables were compared using a Kruskal Wallis Test.  $P < 0.05$  was accepted as statistically significant.

### Findings

A total of 32 patients (all male, with a mean age of  $64.6 \pm 9.7$  y) with COPD who complied with the inclusion criteria were included in this study. The demographics of the patients and the severity of COPD are presented in Table 1.

Correlations were found between the severity of COPD and heart rate, the number of cigarettes smoked and the respiratory function test results. Measurable tricuspid regurgitation was detected in 26 patients ( $26/32=81.2\%$ ), and the degree of tricuspid regurgitation according to the severity of COPD was demonstrated in Table 2.

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FVC	3590 ± 577.2	2785.7 ± 579.4	2531.3 ± 251.3	1887.5 ± 466.7	≤ 0.05
FVC percentage	98.2 ± 11.8	83.9 ± 10.9	64.9 ± 10.2	50.8 ± 12.6	≤ 0.05
FEV1	2522.5 ± 474.3	1722.9 ± 391.4	1286.0 ± 221.8	752.5 ± 105.6	≤ 0.05
FEV1 percentage	88.8 ± 14.5	65.4 ± 8.4	42.1 ± 5.2	25.7 ± 3.3	≤ 0.05
FEV1/FVC	68.9 ± 1.1	61.0 ± 8.2	52.2 ± 10.5	40.6 ± 6.1	≤ 0.05

SD: Standard Deviation, BMI: Body Mass Index; FEV1: Forced Expiratory Volume in the first second; FVC: Forced Vital Capacity.

**Table 2.** Degree of tricuspid regurgitation by severity of COPD.

Severity of COPD	Mild (n=4)	Intermediate (n=14)	Severe (n=10)	Very severe (n=4)	p-value
Tricuspid insufficiency	n (%)	n (%)	n (%)	n (%)	0.622*
Trace no regurgitation	1 (16.7)	2 (33.3)	3 (50.0)	0 (0.0)	
Mild insufficiency	3 (14.3)	9 (42.9)	6 (28.6)	3 (14.3)	
Intermediate insufficiency	0 (0.0)	3 (60.0)	1 (20.0)	1 (20.0)	

p\*: Chi-Square test

Pulmonary Hypertension (PH) is defined as resting SPAB ≥ 30 mmHg [9]. PH is divided into categories, such as mild, intermediate and severe, based on SPAB values of 30-50 mmHg, 50-70 mmHg, and >70 mmHg, respectively. PH was detected in five patients in the present study (5/32=15.6%), and all of these patients were in the severe and very severe COPD groups and had a mild PH.

The right ventricle dimension was measured using an M-mode echo, and a diagnosis of cor pulmonale was made when the width of the right ventricle exceeded 2.6 cm. Cor pulmonale was diagnosed in two patients with very severe COPD in the present study, while left heart failure was detected in one patient with intermediate COPD.

No significant difference was found in the ECHO findings related to the severity of COPD.

**Table 3.** Comparison of ECHO findings by severity of COPD.

Severity of COPD	Mild (n=4)	Intermediate (n=14)	Severe (n=10)	Very severe (n=4)	p value
RVOT end-diastolic diameter	32.5 ± 3.4	29.9 ± 4.1	29.5 ± 5.7	28.8 ± 6.8	0.637
RVOT end-systolic diameter	18.0 ± 5.4	16.1 ± 2.4	15.2 ± 3.3	16.5 ± 2.4	0.838
RVOT-FS	47.3 ± 7.4	44.3 ± 7.3	39.9 ± 7.3	40.8 ± 11.2	0.243
TAPSE	19.5 ± 1.7	19.4 ± 4.0	21.5 ± 5.9	19.3 ± 5.4	0.944
SPAB	20.3 ± 6.1	21.1 ± 6.8	22.2 ± 8.8	28.0 ± 6.8	0.345
PAT	103.0 ± 18.0	129.5 ± 30.7	124.4 ± 44.9	121.3 ± 36.9	0.312
LVEDD	44.8 ± 5.2	47.3 ± 5.4	47.0 ± 5.1	47.8 ± 5.9	0.861
LVESD	29.8 ± 3.2	32.1 ± 4.2	31.7 ± 4.3	32.3 ± 5.3	0.836
LVEF	62.0 ± 1.2	60.4 ± 4.8	61.3 ± 4.3	60.5 ± 4.4	0.801
Intraventricular septum	11.8 ± 0.9	12.1 ± 1.3	12.0 ± 1.3	11.0 ± 1.2	0.578

## Discussion

Although COPD is associated with changes in the structure and function of the right heart [10,11], functional disorder of the left heart has also been demonstrated independent of the stage of the disease in COPD. A common ECHO finding in patients with COPD is left ventricular diastolic dysfunction, although symptoms of heart failure may not be present. An association between decreased physical activity and left ventricular dysfunction has been demonstrated, independent of the stage of COPD, which is defined according to the spirometric GOLD staging criteria in patients with COPD [12]. The present study aimed to evaluate the functions of both the right and left heart using ECHO findings (Table 3).

RA diameter	39.3 ± 4.6	40.1 ± 3.5	39.3 ± 5.4	38.5 ± 2.1	0.613
RV diameter	35.5 ± 7.6	37.6 ± 2.4	37.1 ± 5.3	38.3 ± 2.5	0.887
LA diameter	38.8 ± 2.2	41.2 ± 4.6	39.1 ± 6.4	36.5 ± 2.5	0.279
E/A ratio	0.6 ± 0.2	0.5 ± 0.2	0.7 ± 0.1	0.5 ± 0.2	0.066
E'/A' ratio	0.7 ± 0.1	0.5 ± 0.2	0.7 ± 1.5	0.6 ± 0.1	0.052
Tricuspid S-wave	0.75 ± 0.50	0.64 ± 0.49	0.50 ± 0.53	0.75 ± 0.50	0.758

SD: Standard Deviation; %: Percentage of line; p: Kruskal Wallis Test; RVOT: Right Ventricle Outflow Tract; RVOT-FS: Right Ventricle Outflow Tract Fractional Shortening; TAPSE: Tricuspid Annular Systolic Excursion; SPAP: Systolic Pulmonary Artery Pressure; PAT: Pulmonary Acceleration Time; LVEDD: Left Ventricle End-Diastolic Diameter; LVESD: Left Ventricle End Systolic Diameter; LVEF: Left Ventricle Ejection Fraction; RA: Right Atrium; RV: Right Ventricle; LA: Left Atrium.

Although ECHO is a non-invasive diagnostic test, it may be technically limited in a substantial percentage of patients with COPD. The configuration of the chest wall, air imprisonment and changes in the structure of the heart ventricles complicate ultrasonographic evaluations of the heart in patients with COPD [13,14]. In this study, 32 patients with COPD at different stages of the disease, and in whom an echocardiographic evaluation could be performed, were included.

Although the actual prevalence of PH is unknown, increased SPAP has been reported at 5-40% in series of selected individuals with serious COPD and with the previous right heart catheterization. However, in the large majority of cases, COPD-related PH is mild to intermediate and progress is slow without changing RV function; and severe PH is seen in less than 5% of the patients [15,16]. PH was identified in 15.6% of cases in the present study, and all of those patients were in the severe and very severe COPD groups, and their PH was mild.

Currently, routine evaluations of the presence of PH is not part of the COPD guidelines, although right ventricle dysfunction and PH is well known to impair the clinical course of COPD and to be directly proportionate with mortality [17]. New parameters are required to determine structural RV changes early in their course in COPD, with most of the recommended methods for the evaluation of RV function being based on volumetric measurements of the right ventricle. These approaches can be considered limited, firstly, for being dependent on the volume-related measurements, such as ejection fraction, and secondly, due to the complicated geometry of the right ventricle. This can be overcome using parameters that are independent of geometry, such as TAPSE [18].

As for the value of the RVOT function, Lindqvist [7] and Asmer [19] reported that RVOT movement or contraction could be used as a marker of RV systolic function in two different studies. Lindqvist et al. reported that RVOT-FS was intermediately correlated with TAPSE and inversely correlated with a transtricuspid Doppler gradient [7]. In a study by Geyik et al. [20], contrasting the present study, the RVOT dimension and contraction were found to be correlated with functional capacity and the degree of COPD, although TAPSE and PH values were not impaired in the COPD and control groups.

Studies that demonstrated a correlation between the stage of COPD and E/A ratio have been published in the literature [21,22], although there have also been reports identifying no correlation between the parameters of right ventricular diastolic function and SFT parameters in cases with COPD [23]. In a study by Yemenici et al. [24], a significant positive correlation was identified between the E/A ratio and FEV1, and IVRT was found to be significantly lengthened in patients with an FEV1 < 1 L when compared to patients with an FEV1 > 1 L in COPD. In this regard, impairments in left ventricular diastolic function have been demonstrated to become more prominent as the COPD stage increases. No significant association was found in the present study between the stage of COPD and the E/A ratio.

Cor pulmonale was identified in 6.25% of the patients in this study and has been shown to develop in about 25% of the patients with COPD [25]. In an autopsy series, 40% of the patients with COPD were diagnosed to have cor pulmonale [26], and about 85% of the patients with cor pulmonale had COPD [25]. Left ventricle functions were found to remain normal in COPD in some studies, while others claim that LV dysfunction may be present [27,28].

Left Ventricular Systolic Dysfunction (LVSD) was present in 3.12% of the cases in the present study, while the same figure was 4-32% among cases with COPD in previous studies [29-32]. The early detection of subclinical LVSD has been emphasized to be important in the prevention of heart failure by allowing medical treatment [33,34]. One cause of LVSD is RV dysfunction in COPD, while another is increased arterial rigidity [35,36]. In a third mechanism, the angiotensin-converting enzyme is present in very high concentrations in the lungs, and chronic hypoxemia activates the renin-angiotensin system, which, in turn, may play a role in the pathogenesis of LVSD [37]. A fourth mechanism in the development of LVSD in COPD may be the presence of systemic inflammation. Even though we did not evaluate inflammatory markers in this present study, there is powerful evidence for the presence of low-grade systemic inflammation in COPD [38-40].

In conclusion, adverse results may be inevitable when the treatment of COPD is planned targeting only the lungs. We suggest that cardiac influences should be diagnosed early in the course of the disease, and that treatment be started to decrease the rates of mortality and morbidity in patients with COPD. To

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this end, we suggest increased use of ECHO in patients with COPD in the future.

### **Limitations of the Study**

There are some limitations in this study. Primarily, the window was not brought to a standard for the measurement of the RVOT dimension. In- and inter-observer variability is required, and RVOT dimensions are changed when different methods are used and in different sites in changing body positions. Secondly, a comparative gold standard technique was lacking for the evaluation of RV function, such as cardiac catheterization and/or magnetic resonance imaging. Thirdly, there were no female patients with COPD included in this study.

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