Compared to TKA or HTO, will you choose UKA to treat medial compartmental knee osteoarthritis? A review paper on UKA.

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Abstract

Over the past many years, unicompartment knee arthroplasty (UKA) had been used to treat unicompartment osteoarthritis (OA) of knee. In spite of many years of experiences in performing UKA, the orthopedics scholars had not reached an agreement on it. Herein, compared to total knee arthroplasty (TKA) and high tibial osteotomy (HTO), we reviewed the controversy on the advantages and disadvantages about the usage of UKA and the indications, methods, and complications of revision of UKA. Finally, we concluded that compared to TKA or HTO, UKA might be a very good choice for us in the treatment of medial compartment knee OA.

Keywords: Arthroplasty, Knee, Osteoarthritis, Unicompartment.

Accepted on January 29, 2018

Introduction

Unicompartment knee arthroplasty (UKA) is an effective treatment for medial compartmental osteoarthritis (OA) of the knee. UKA has been shown to be a satisfactory curable choice for this type of OA and its advantages over TKA are well known: retention of soft tissue and bone stock, anterior cruciate ligament (ACL) preservation, earlier and easier rehabilitation, better functional result and lower need for blood transfusion in the immediate postoperative period [1,2]. HTO is also an effective treatment method for unicompartment knee OA of the knee. It also had some advantages and disadvantages. HTO and UKA are reconstructive surgeries advocated for patients with unicomparemental knee OA that many delay or perhaps avoid the need for TKA [3].

All these advantages led to an exponential increase in the number of UKAs performed globally, and in turn, to an increase in the number of revision rates in recent years. The high rate of complications related to the earliest UKAs reduced its popularity in the 1990s [2]. Even with early disagreement results, recent evidence showed inspiring results and survival of modern implants [4,5]. In the course of time, a steady progress of implants, both in the light of manufacturing and design, more cautious patient selection, and developments in surgical approaches have led to survival rates similar to those of TKA [1]. Medial UKA performed in England and Wales represents seven to 11% of all knee arthroplasty procedures, and now that number is also on the rise [6]. Therefore, an increasing number of surgeons focus on UKA due to its better results compared with early research. Compared to TKA or HTO, UKA may also be having some positive and negative factors. This article summarizes the progression of UKA in recent years. And it has been approved by the ethical committee of the third hospital affiliated to Nantong University.

The survival rate of UKA in recently

There is almost no dispute about the indication of the UKA operation now. Cartilage wear was found to take place in the anteromedial part of the tibial plateau of knee, with preservation of the posterior cartilage. This was called anteromedial knee OA (AMOA). Key radiographic features on the anteroposterior (AP) X- ray are: Full thickness cartilage loss with eburnated bone-on-bone contact in the medial compartment (Figure 1). Failure to demonstrate full thickness loss is a well-established contra-indication to UKA, with results recognized as being poorer in the medium term. This is an important aspect of the UKA survival rate.



Figure 1. AP knee radiograph demonstrating the classic full thickness cartilage loss with eburnated bone-on-bone contact in the medial compartment.

In some reports, survivorship did not satisfaction markedly, although there was a trend towards UKA beyond twelve years. Some editors showed worse survivorship compared to TKA, with survival rates almost five years after implantation as low as 84.7% [7,8]. In 2013, Bruni et al. reported a survivorship at eight years approximates 83% [9], and in 2016, the same group published another report which described a survivorship about 87.6% [10]. A study on the St. Georg Sled showed almost 85.9% survivals at eighteen- twenty years with a mean age at operation of sixty-seven years [11]. In a study by Elke et al., the five- year implant survival rate was about 87.8% [12].

Recently, other reports showed better outcomes. Forster et al. reported a survival rate approximate 97.9% at two years, 94.1% at five years, and 91.3% at ten years [6]. Their followup rate about 97.1%, that is similar to other studies of this characteristic [13,14]. Some studies reviewed the recent investigations of modular UKA designs and found survivorship rates of 93% to 96% at seven to ten years [15,16]. Pennington et al. reported on patients younger than sixty years with 92% survival rates at ten years with Miller-Galante implants [17]. Pandit et al. reported a ten-year survival rate about ninety-six %, if all implant-related reoperations were considered failures; the incidence of implant-related reoperations was almost 2.9% during a mean follow-up period about 5.6 years [18]. Some authors concluded that UKA results were associated with survival rates about 90%-98% at ten years [13,19]; and Price et al. published long-term results with twenty-year survivorship rates of greater of 90% [19]. Lateral and medial UKAs showed similar survival rates based on recent studies [14,20].

Comparison of UKA and TKA

UKA, which is limited to a single compartment, can remove the cartilage lesion of the knee joint only in patients with unicompartment OA; thus, it has the advantages of minimizing bone resection, reducing the use of polyethylene and bone cement, and preserving more normal knee function in comparison with TKA, thereby resulting in a shorter operative time and faster recovery (Figure 2). Hence, postoperative morbidity period is short and good joint motion can be achieved [21]. Many reported advantages of UKA over TKA include conservation of bone stock, an easier and shorter recovery period, lower overall cost, lower morbidity, better functional result due to more normal knee kinematics, and a subjective feeling of a more natural knee [22,23] (Figure 3).



Figure 2. UKA removed only a small amount of the medial compartment bone of knee.



Figure 3. UKA has minimized incision and bone resection, reduced the use of polyethylene and bone cement, and retented the ACL.

In the present study, Foran et al. demonstrated that there were no significant differences in five-year survival rates between UKA and TKA knees [13]. Liddle et al. reported that the clinical results after TKA and UKA are good; however, functional results and return to sports appear to favor UKA. The main disadvantage of UKA is the lower survival rate in the *Citation:* Ye JX, Yang XF, Lian-Sheng D, et al. Compared to TKA or HTO, will you choose UKA to treat medial compartmental knee osteoarthritis? A review paper on UKA. J Phys Ther Sports Med 2018;2(1):26-32.

second decade [24]. Matthew et al. thought that while patients with UKA had higher pre- and postoperative scores than patients with TKA, the differences in scores were similar in both groups and survival appeared higher in patients with TKA [25].

However, these studies are not supported by usable implant registry data from Gro et al., who found that survival rate of UKAs remains enormously lower than that of TKAs, despite a survival benefit for TKA over UKA. The decision to perform UKA should be made with a distinct awareness that its survival is substantially inferior to that of TKA, and that any perceived advantages of UKA should be balanced against this issue of decreased durability [26]. Tuukka et al. also believed that UKA offered tempting advantages compared with TKA; however, the revision frequency for UKAs in widespread use, as measured in a large national registry, was poorer than that of TKAs [27].

There has also been debate about the issue of age at operation for UKA versus TKA. Homayoun et al. believed that, due to its less invasive nature, patients older than seventy-five years undergoing UKA demonstrated a faster initial recovery maintaining compared to TKA, while comparable complications and midterm survival rates [28]. However, its use was criticized because of its potential higher revision rate compared to TKA [25,29]. Overall patient satisfaction scores were significantly higher for UKA than TKA in patients younger than fifty-five years of age. This relationship is less pronounced for patients older than sixty-five years [30].

The perioperative complication rate of UKA in the Homayoun et al. study was low; and more importantly, the revision rates were low and comparable to that of TKA [28]. In the Liddle et al. report, UKA was associated with a lower risk of perioperative complications, such as venous thromboembolic events, stroke and myocardial infarction, and blood transfusion rate [24]. In a meta-analysis by Alisara et al., the authors concluded that postoperative complications in the TKA group were higher compared to the UKA group, whereas revision rates were higher in the UKA group compared to the TKA group [31]. Two previous studies reported a lower rate of venous thrombosis for UKA compared with TKA [22,32]. Leta et al. reported that approximate 3.4% of primary UKAs and about 24% of primary TKAs were revised due to infection [33]. A much larger study reviewing UKA and TKA over a five year period found no statistical significance for thromboembolic events between the 2 subgroups [34].

Comparison of UKA and HTO

The surgical curable methods for OA of the knee largely include HTO and UKA [35]. The differences in indication and clinical prognoses of these two surgical approaches are still debated.

In comparison with HTO, UKA is a reconstructive surgery that replaces the worn out articular surface with an artificial structure, and preserves the articular surface of the healthy side (Figure 4). Additionally, weight-bearing ambulation and early rehabilitation are possible within a short period of time with the advantage of fewer postoperative complications [36]. UKA is known to have advantages of faster return to activities of daily living and early recovery from pain. HTO has a good prognosis, for which malalignment of the medial compartment of the knee OA had been corrected [37]. However, it is technically difficult to achieve an ideal valgus knee after surgery. Also, occurrence of complications after HTO is higher than after UKA.



Figure 4. The AP knee radiograph showed UKA can reconstract the anteromedial knee and preserves the articular surface of the healthy side, but not over correct extra-articular dysmorphia of the knee.

Broughton et al. retrospectively investigated UKA and lateral closing wedge HTO in their follow-up observations for a five year period. They reported satisfactory results of 76% for patients undergoing UKA and 43% of those undergoing HTO [38]. Sukenborg-Colsman et al. prospectively compared prognoses of UKA and HTO for seven to ten years and reported a better prognosis and fewer operative complications for UKA [36]. The early clinical results of UKA at six months were significantly better compared with HTO [37]. A recent meta-analysis comparing HTO versus UKA indicated that UKA is a more favorable technique for improving clinical results and relieving pain up to ten years postoperatively [39].

Furthermore, with respect to degenerative changes of the knee joint, a report that a degenerative change of the lateral tibiofemoral compartment has not shown a significant difference between HTO and UKA groups, despite having achieved a greater valgus alignment by HTO [40]. Conclusively, either HTO or UKA is a rather outstanding surgical treatment as long as appropriate patient selection can be made. Otto et al. concluded that despite a previous UKA and HTO having a negative effect on a subsequent TKA, the effect is relatively small for HTOs considering the potential benefit of delaying the eventual TKA, and the fact that most patients with HTO and UKA never undergo revision surgery. Therefore, they argued that their findings supported the continued use of UKA and HTO in selected patients. However, it is critical that patients undergoing those reconstructive procedures be counseled about the risks and complexities associated with revision of these procedures to TKAs [41]. Postoperative rate of revision and complications did not differ significantly between two groups. With the correct patient selection, both HTO and UKA show effective and reliable results [42].

Revision of UKA and its methods

In early reports, UKA had worse results than TKA and UKA had higher revision rates. There were many reasons for revision, including technical failure, aseptic loosening, and unexplained pain among others. Lindstrand et al. discussed revisions due to loosening, subsidence, or fracture. These revisions were performed within one year [43]. In a series by Jong et al., time to reoperation was approximately 22.3 months [44]. Estrella et al. reported a revision rate of failed UKAs of 3.4% [45], and lower than in other recent series [46], of more than 6%.

Unexplained pain was an important indication for revision. When performing revision surgery in patients with pain of unknown etiology, the results were poorer than when the etiology was known. Revisions for unexplained knee pain may be partly responsible for the increased incidence of revisions during UKA compared to TKA [47].

From a technical perspective, the loss of anatomic references and bone stock makes UKA revision surgery difficult, and according to some authors, as technically challenging as TKA revision [48,49]. The main technical challenges in revision surgery of UKA are bone stock loss and difficulty in the location and position of the joint line and axis due to altered anatomical landmarks [45].

Another possible explanation of the higher revision rate of UKA is the long learning curve. Jones et al. discussed biased operative procedures on revision surgery. For example, excessive tibial resection implies more bone loss and altered anatomic landmarks [49]. Robertson et al. concluded that there is an association between the numbers of UKAs performed in a surgical unit and the incidence of subsequent revisions [50]. Rees et al. showed that the average American Knee Society Score of the first ten cases was significantly lower than that of subsequent ones [51]. The Swedish Knee Arthroplasty Register (2004) reported that surgeons with fewer than twenty three cases per year produce significantly lower survival rates [49]. Zambianchi et al. concluded that prosthetic design and model had less of an impact on results than surgeon experience [16]. Perkins and Gunckle, in a study with a six-year follow-up

period, reported that the possibility of requiring revision surgery was high when the postoperative tibiofemoral angle was larger than 3° varus or larger than 7° valgus [52].

Apart from well known risk factors, Jeschke et al. recently reported a significant influence of age, sex, obesity, fixation, depression, and complicated diabetes on the UKA revision rate. They argued that surgical indications and preoperative patient counseling should be considered [11]. While improvements in implant design and surgical technique have led to reduced revision rates, patient selection seems to be crucial for success in UKA. Barrett and Scott suggested that errors in patient selection were responsible for about 31% failure rate [53]; Saldanha et al. also noted that almost 13% failures cases were due to poor patient selection [1].

The methods of UKA revision include UKA and TKA. Revision of a UKA with another UKA is not routinely recommended. Pearse et al. in reviewing the New Zealand Joint Registry showed that UKA revision with a new UKA had a revision rate about 6.67% in the first year, compared to 0.48% in primary TKA and 1.97% in UKA revision with TKA [54]. Hang et al. [55] obtained similar conclusions.

Some surgeons claim that revision of a primary UKA to TKA yields the same results as a primary TKA [56]. However, many surgeons prefer to offer UKA to younger patients and to postpone TKA, believing that the outcomes of UKA to TKA are equal to those of primary TKA and better than those of TKA to TKA [1,54]. Tesfaye et al. compared primary UKAs and primary TKAs revised to TKAs. Overall, about 12% of those in the UKA to TKA group and 13% in the TKA to TKA group underwent re-revision [33]. The ten-year survival rates of UKA to TKA versus TKA to TKA were approximate 82% versus 81%, respectively. However, the risk of re-revision was two times higher for TKA to TKA patients who were older than seventy years of age at the time of revision. TKA to TKA had a longer operative time and more of the procedures required stems and stabilization compared with UKA to TKA. TKA to TKA had a higher percentage of re-revisions due to deep infection compared with UKA to TKA.

Complications

UKA is an effective curable for patients with OA of the knee, and may be a good alternative to TKA in certain cases. However, UKA also has shortcomings, such as difficulties in surgical technique, subluxation of the tibiofemoral joint due to inaccurate location, migration of prosthesis, infection, and bone defects developed during revision. Despite the above mentioned technical difficulties, complications of UKA have rarely been reported [57]. But Jong et al. recently reported intra- and postoperative complications of UKA. Intraoperative complications included fractures of the medial tibial condyle, the intercondylar eminence, and rupture of the medial collateral ligament (MCL). Postoperative complications included: 1) polyethylene bearing dislocation, 2) suprapatellar bursitis, 3) aseptic loosening of the femoral component, 4) soft tissue impingement due to malalignment, 5) TKA conversion due to medial component overhanging, 6) periprosthetic *Citation:* Ye JX, Yang XF, Lian-Sheng D, et al. Compared to TKA or HTO, will you choose UKA to treat medial compartmental knee osteoarthritis? A review paper on UKA. J Phys Ther Sports Med 2018;2(1):26-32.

fracture, and 7) pain of unexplained etiology, among others [29].

Still many published mechanisms of UKA failure [58,59] included aseptic loosening, arthritic progression, and unexplained knee pain. The most frequent causes of UKA failure are OA progression in other knee compartments and aseptic loosening of components [60]. Berger et al. reported that the indication of UKA revision to TKA, at seven and eleven years, was due to progression of patellofemoral arthritis. At the final follow-up visit, no radiographically loose component was found and there was no evidence of periprosthetic osteolysis [61]. In recent reports, the most frequent complications as a reason for revision was progression of OA [6]. Aseptic loosening was the second most common complication, which occurred most commonly on the tibial side. Aseptic loosening after UKA has been described as responsible for up to 67% of all failures [55].

In general, compared to TKA or HTO, UKA not only have many advantages, but also have many disadvantages in itself. Only if we choose right patents, have a strict indication of the operation, regular surgical procedure, and good perioperative management, UKA might give us a good result in the curable of medial compartmental knee OA.

Conflict of Interest Statement

None of the authors have any conflicts of interest to declare.

Acknowledgments

This work was supported by the Natural Science Foundation of China (81270011; 81472125) and the Natural Science Foundation of Jiangsu Province (Grant BK20151114), Foundation of Traditional Chinese Medicine of Jiangsu Province (YB201578) and Medical Innovation Center Team of Health and Family Planning Commission of Wuxi, No. CXTD006.

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Citation: Ye JX, Yang XF, Lian-Sheng D, et al. Compared to TKA or HTO, will you choose UKA to treat medial compartmental knee osteoarthritis? A review paper on UKA. J Phys Ther Sports Med 2018;2(1):26-32.

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