# A statistical model and an algorithm for diffusion tensor smoothing in impulse and mixed noise situations.

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#### Abstract

Image smoothing is a prerequisite for subsequent operations in image processing. There are several techniques for image smoothing among which diffusion tensor smoothing is preferred in imaging the brain, muscles, heart, tumour and cancer cells. Data acquisition and transmission introduce impulse noise along with the ever present Gaussian noise. Image smoothing filters such as the mean filter, anisotropic diffusion filter, the bilateral filter and the guided filter are not intrinsically robust to impulse noise. They require additional complex structures for robustness. The robustness of diffusion tensor smoother has not been well studied. A statistical model for diffusion tensor image smoother is proposed consistent with the model for the standard anisotropic diffusion smoother. An algorithm is derived. The performance of the diffusion tensor smoother is investigated qualitatively and quantitatively. The intrinsic robustness of the diffusion tensor smoother and the superior performance thereof in comparison with the anisotropic diffusion are demonstrated.

Keywords: Diffusion tensor smoothing, Robustness, Anisotropic diffusion, Mixed noise.

#### Introduction

Magnetic Resonance Imaging (MRI) is useful in visualizing the internal structures of the body. It provides good contrast between different soft issues of the body. Images including MRI are contaminated by a variety of noise during acquisition, storage and transmission. Two primary noise types are Gaussian noise and Impulse noise. Noise reduction is achieved by image smoothing. Prominent image smoothing filters are the mean filter [1], the Bilateral filter [2], the Guided filter [3], diffusion smoothing filter [4] and diffusion tensor smoother [5]. Diffusion tensor smoothing forms the mathematical basis of MRI which is useful in the study of molecular diffusion which reveals microscopic details about the body tissues. Diffusion tensor imaging is extensively used in the detailed analysis of MRI images in recent research [6,7]. Three important requirements in diffusion tensor imaging are smoothing, detail preservation, and edge preservation. Digital data acquisition and transmission introduce impulse noise and, therefore, the robustness of diffusion tensor image smoothing to impulse noise is also an important requirement. Importance of robustness as a desirable property of smoothing filters in the presence of impulse noise is well recognized [8-16]. However, the robustness of diffusion tensor imaging has not been well studied. The primary objective of this paper is to investigate the intrinsic robustness of diffusion tensor smoothing in impulse and mixed noise situations where Gaussian plus impulse noise are present. This investigation takes the standard

Accepted on March 11, 2017

anisotropic diffusion smoothing as a reference, since the anisotropic diffusion smoothing, introduced by Perona and Malik [4], has become one of the foundational techniques for smoothing with edge preservation. A statistical model for the purpose of the investigation of the robustness of the diffusion tensor smoothing consistent with the standard anisotropic diffusion smoothing is presented. An algorithm for numerical computing is derived. The performance of the diffusion smoother is studied in comparison with that of the anisotropic diffusion smoother. Results are presented in terms of quantitative and qualitative metrics.

## A Statistical Model for Diffusion Tensor Smoothing

The anisotropic diffusion equation with a convex energy functional is given by

$$\frac{\partial I(x, y, t)}{\partial t} = \nabla . (D(x, y) \nabla I(x, y, t)) \to (1)$$

The corresponding discrete version is

$$I_{i,j}^{n+1} = I_{i,j}^{n} + \lambda [D_N \nabla_N I + D_S \nabla_S I + D_E \nabla_E I + D_W \nabla_W I]_{i,j}^{n}$$
  

$$\rightarrow (2)$$

Where four gradients  $\nabla_N I$ ,  $\nabla_S I$ ,  $\nabla_E I$ ,  $\nabla_W I$  are along North, South, East and West directions,  $\lambda$  is a constant,  $D_N$ ,  $D_S$ ,  $D_E$  and

Nair/Rajagopal/David

 $D_W$  are the diffusion coefficients, *i*, *j* are spatial indices and n is the time index. Rewriting equation (2) as  $I_{i,j}^{n+1} = I_{i,j}^n + (4\lambda)(1/4)$  $[D \ \nabla I + D \ \nabla I + D \ \nabla I + D \ \nabla I]^n \rightarrow (2)$ 

$$\left[D_N \nabla_N I + D_S \nabla_S I + D_E \nabla_E I + D_W \nabla_W I\right]_{i,j}^n \to (3)$$

Equation (3) can be rearranged as

$$I_{i,j}^{n+1} = I_{i,j}^{n} + (4\lambda) \left[ (1/4) \sum_{k=1}^{4} D_k \nabla_k I_{i,j}^n \right] \to (4)$$

If  $D_k$  are functions of gradients  $\nabla_k I_{i,j}^n$ , that is,  $g(\nabla_k I_{i,j}^n)$ , equation (4) can be written as  $I_{i,j}^{n+1} = I_{i,j}^n + (4\lambda) \left[ (1/4) \sum_{k=1}^{4} g(\nabla_k I_{i,j}^n) \nabla_k I_{i,j}^n \right] \to (5)$ 

Equation (5) now represents the anisotropic diffusion smoothing as a statistical model where the term within the square brackets represents weighted averaging which is a maximum likelihood (ML) estimate in the  $L_2$  sense. Let

$$(1/4) \sum_{k=1}^{4} g(\nabla_k I_{i,j}^n) \nabla_k I_{i,j}^n be \theta_{i,j}^n \qquad \text{so} \qquad \text{that}$$
$$I_{i,j}^{n+1} = I_{i,j}^n + (4\lambda) \theta_{i,j}^n \to (6)$$

Equation (6) is a statistical model which characterizes a first order Markov process. If  $g(\nabla_k I_{i,j}^n)$  is semi group [17], equation (6) represents an irreversible process. Different formulations of anisotropic diffusion should have a fundamental form as expressed by (6). The non-linear tensor diffusion process can be described by  $\frac{\partial I}{\partial t} = \nabla \cdot (D \nabla I) \rightarrow (7)$ 

where *I* is the image intensity I(x, y, t), *D* is the diffusion tensor and the RHS is the divergence of the gradient of *I*. The diffusion tensor is represented as  $D = \begin{bmatrix} a & b \\ b & c \end{bmatrix}$  where a, b, c and d can be obtained in terms of image intensity values [5]. Equation (7) can now be expressed as  $\frac{\partial I}{\partial t} = (\partial x \quad \partial y) \begin{bmatrix} a & b \\ b & c \end{bmatrix} (\frac{\partial I}{\partial x}, \frac{\partial I}{\partial y})^T \rightarrow (8)$ 

By recasting equation (8) in the form of the statistical model (6),  $\theta_{i,j}^n$  for diffusion tensor is derived as  $\left[\theta_{DT}\right]_{i,j}^n = \frac{1}{8} \left[ \frac{\left(a_{i,j} - a_{i+1,j}\right)}{2} (I_{i+1,j}^n - I_{i,j}^n) + \frac{\left(a_{i,j} - a_{i-1,j}\right)}{2} (I_{i-1,j}^n - I_{i,j}^n) + \frac{\left(c_{i,j} - c_{i,j+1}\right)}{2} (I_{i,j+1}^n - I_{i,j}^n) + \frac{\left(c_{i,j} - c_{i,j-1}\right)}{2} (I_{i,j-1}^n - I_{i,j}^n) \left(\frac{b_{i+1,j}}{4} (I_{i+1,j+1}^n - I_{i,j}^n) + \frac{\left(b_{i-1,j}\right)}{4} (I_{i-1,j+1}^n) + \frac{\left(b_{i,j+1}\right)}{4} (I_{i+1,j+1}^n - I_{i-1,j+1}^n) + \frac{\left(b_{i,j-1}\right)}{4} (I_{i-1,j-1}^n - I_{i+1,j-1}^n) \rightarrow (9)$   $I_{i,j}^{n+1} = I_{i,j}^{n} + \beta \left[ \theta_{DT} \right]_{i,j}^{n}$  where  $\beta$  is a constant such that  $0 < \beta \le 0.25 \rightarrow (10)$ 

The model is stable over  $0 \le \beta \le 0.25$  in the numerical environment consistent with (6) where  $0 \le \lambda \le 0.25$ .

## **Results and Discussion**

The performance of the diffusion tensor smoother is studied in comparison with the foundational anisotropic diffusion algorithm introduced by Perona and Mailk [4]. Figures 1a-1c show the results for anisotropic diffusion smoother for impulse noise of density 5%, 10% and 20% respectively for 25 iterations. The spreading of black patches clearly indicates the sensitivity of the algorithm to impulse noise (salt and pepper noise). Even though the robustness has been established in theory for Perona-Malik algorithm [6], the results show that the algorithm is not intrinsically robust. However, for low number of number of iterations (Figure 1d), the Perona-Malik algorithm is stable, but the noise removal capability is very poor. The performance of the diffusion tensor smoother is shown in Figures 2a-2c for impulse noise of density 5%, 10% and 20% respectively for 25 iterations. The good impulse noise removal capability and the intrinsic robustness are clearly demonstrated. Figure 2d shows the performance at 20% salt and pepper noise for 10 iterations. It is clear that the diffusion tensor smoother is intrinsically robust.



Figure 1. The performance of anisotropic diffusion for salt and pepper noise.



Figure 2. The performance of diffusion tensor for salt and pepper noise.



Figure 3. The performance of anisotropic diffusion for mixed noise.

Figures 3a-3d show the results for anisotropic diffusion smoother for mixed noise with Gaussian noise of variance 0.01 and salt and pepper noise of density 5%, 10%, 15% and 20% respectively for 25 iterations. It is clearly seen from these

results that the anisotropic diffusion exhibits spreading effect as black patches and the mixed noise removal capability is very poor. The performance of the diffusion tensor smoother is shown in Figures 4a-4d for mixed noise with Gaussian noise of variance 0.01and salt and pepper noise of density 5%, 10%, 15% and 20% respectively for 25 iterations. It can be seen form these results that that the diffusion tensor smoother works well for mixed noise. Table 1 displays the peak signal to noise ratio (PSNR) and the structural similarity index metric (SSIM) for impulse noise of density 5%, 10% and 20% respectively for 25 iterations. The quantitative results confirm the superiority of the diffusion tensor smoother over the anisotropic diffusion smoother in terms of edge preservation and noise removal.



Figure 4. The performance of diffusion tensor for mixed noise.

Table 1. Performance metrics.

Methods	PSNR			SSIM		
	5%	10%	20%	5%	10%	20%
Perona	10.8654	8.4718	7.3038	0.7440	0.5824	0.2626
tensor	18.7633	18.0952	16.6287	0.8132	0.7768	0.6923

## Conclusion

A statistical model for diffusion tensor image smoothing and an algorithm are proposed. The intrinsic robustness of the diffusion tensor smoother is investigated. The performance of the diffusion tensor in terms of the statistical model and the corresponding algorithm are also investigated in impulse noise and mixed noise situations. The standard anisotropic diffusion smoother has been employed as a reference. The results are obtained in terms of the standard image processing metrics and visuals for Magnetic Resonance Image (MRI). The intrinsic robustness, the smoothing capability and the edge preserving capability of the diffusion tensor smoother are demonstrated.

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