

# Stress distribution and displacement of maxilla in micro-implant assisted rapid palatal expansion: A comparative three dimensional finite element analysis.

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## Abstract

**Aims & Objective-**This study was to analyze and compare, stress distribution and displacement of fused maxilla and circumaxillary structures between two different Micro-screw Assisted Rapid Palatal Expanders (MARPE) using Finite Element Method.

**Materials & Methods-**Two different sizes of microscrew implants were used in our study. In Type 1–2×10 mm (Orlus implant, Ortholution, Korea ) microscrew implants was used with expansion screw and connected to the expander *via* INSERTION slot. In Type 2–2×12 mm (Orlus implant, Ortholution, Korea) micro-screw implants used with expander. Activation were done transversely for 0.25 mm. Geometric non-linear theory was applied to evaluate Von-Mises stress distribution and displacement.

**Results-**The stress and strain distribution from forces applied to the circumaxillary sutures, mainly along the suture showed Type 1 had more stress and strain distribution than Type 2. In addition, Type 2 showed more amount of displacement in the midpalatal sutural region.

**Conclusion-**In conclusion, Type 2 can offer better and further skeletal expansion with increased fracture resistance and stability than Type 1.

**Keywords:** Micro-screw assisted rapid palatal expanders, Finite Element Method (FEM), Stress distribution, Strain distribution, Circumaxillary sutures.

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## Introduction

Prevalence of maxillary transverse deficiency is most common in deciduous and mixed dentition, compared to adult orthodontic patients. Deficiency is about 8% to 23% in the deciduous and mixed dentitions and less than 10% in adult orthodontic patients [1].

Etiology for transverse deficiency is multifactorial. One of the better ways to alleviate the deficiency is Rapid Palatal Expansion (RPE). Chaconas and Caputo stated that the major resistance to rapid palatal expansion forces was not the suture but other articulations in the maxilla, such as the zygotic and spheroidal sutures [1]. Conventionally, Surgically Assisted RPE (SARPE) has been applied to overcome the decreased elasticity of bone and increased resistance of interdigitated mid-palatal suture in adults [2-4].

Currently, Temporary Anchorage Devices (TAD) have been applied to correct this transverse deficiency in adult orthodontic patients. In recent years, a palatal expansion design has been developed with a jackscrew attached to the palatal vault by means of temporary anchorage device [5]. Lee et al. [6] reported a clinical report with miniscrews in

the paramedian area for a bone-borne expander connected to teeth [7]. Lagravere et al. have assessed the effect of bone-borne expansion appliance with palatal slope anchor [8]. The treatment effect of RPE have been extensively studied through various methods including analysis of photoelastic models [9], laser holography [10], and 3D finite-element (FE) models [11-16]. Treatment effect of MARPE Type 1 & 2 compared for biomechanical variables such as displacements, strains and stresses through the FE models in our study.

## Materials and Methods

The FE meshed model skull was obtained from a 30 years old, male patient's Computed Tomography (CT) data. The spiral CT scanning was performed with the following parameters: 120 kV, 360 mA, matrix size of 512 × 512, and the slice thickness of 0.300 mm and voxel 0.463×0.463×0.300.

### Finite element model

This meshed skull model excluding maxilla and dento-alveolar structures was divided into 5 mm tetrahedrons whereas maxilla including teeth and alveolar bone into 1 mm tetrahedrons. Periodontal ligament, alveolar bone and teeth were considered to be a homogenous and isotropic material.

Material properties of each component were reported earlier as shown in Table 1 [17,18].

**Boundary conditions**

The 3D co-ordinate axis was X-transverse direction plane; Y-antero-posterior direction plane; and Z-vertical direction plane. The origin point Foramen Magnum was fixed

*Table 1. Material Properties*

MATERIALS	YOUNG'S MODULUS (MPa)	POISSON'S RATIO
Dipole	1378	0.22
Table	10204	0.3
Cortical Bone	13700	0.3
Cancellous bone	1370	0.3
PDL	50	0.49
Enamel	80350	0.33
Dentin	19890	0.31
Suture	10	0.49
Titanium	113000	0.33

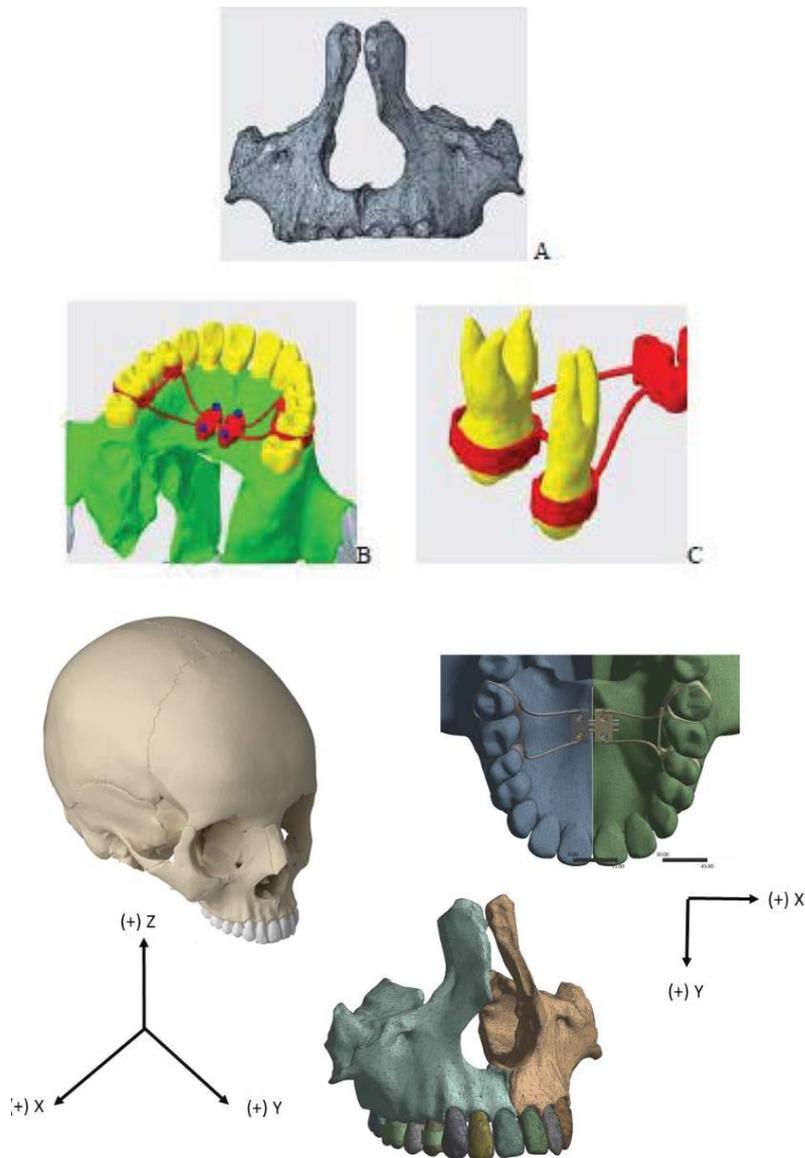
completely, as suggested by Gautam et al. [19]. Forward, outward and upward displacements were indicated by positive values on the X, Y, and Z planes. The models were sectioned by XYZ planes and were placed to analyze stress distribution and displacement (Figure 1).

Forward, outward and upward displacements were indicated by positive values on the X, Y, and Z planes. The models were sectioned by XYZ planes and were placed to analyze stress distribution and displacement (Figure 2).

**Design of the marpe appliance**

In our study, Micro-screw assisted rapid maxillary expansion device is composed of Hyrax screw with extensions attached to first premolars and first molars and insertion slots of 1.5×2 mm for micro screw implant placement (modified Dr. Won Moon's MSE design).

The bands were (meshed using shell elements connected to teeth using tied interface) connected with 0.9 mm stainless



**Figure 1.** Schematic representation of the FE meshed model with 3D co-ordinates

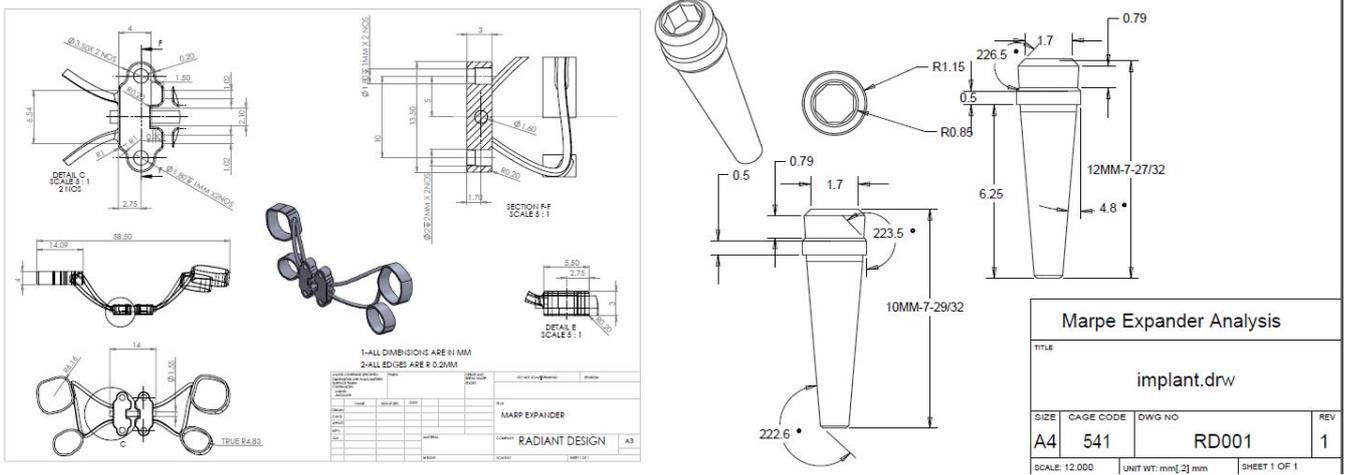


Figure 2. Schematic representation of the MARPE design

steel wire (modeled using beam elements) on the palatal sides. Expansion screw (MARPE) of 0.25 mm expansion per turn, 10 mm pitch was selected. Two different sizes of micro screw implants were used in our study. In Type 1—2×10 mm and Type 2—2×12 mm (Orlus implant, Ortholution, Korea) micro-screw implants was used with expansion screw and connected to the expander *via* designed slot.

**Methodology**

Activations are done according to the expansion protocol proposed by Dr.Won Moon [20,21]. Expanders were activated transversely in X direction and were unfixed in Y and Z directions to prevent interference with the resultant movement. ANSYS 18.1 workbench (U.S.A) software was used for FE analysis. In FEM, activations are done by increasing the numerical value in the software. For every quarter turn i.e., 0.25 mm, stress distribution, strain energy level and displacement of maxilla were evaluated in the maxillary regions, dento-alveolar regions, implant site and expander placed region. Earlier studies reported palatal expansion for minimal turn only. In our study, we activate the MARPE till the strain limit, to find out the maximum stress, strain and displacement happening in the palate. Type 1 MARPE showed maximum stress, and strain with less displacement comparatively (Table 1 and Figure 3).

**Results**

On comparing Type 1 and 2 MARPE, we found that, even though the pattern of stress distribution was same, Type 1 showed more amount of stress distribution in all measured regions. Type 1 showed high stress distribution around the MARPE expander (4464) followed by the micro screw implants (204 MPa) (Graph 1). Less stresses was found to be in the alveolar bone region (9.36 MPa) (Figure 4). Type 2 shows stress distribution more around the MARPE expander (3076.2 MPa), microsrew implants (81.63 MPa), mid palatal suture (28.14MPa) (Graph 2,3). Least amount of stress is distributed around alveolar bone (8.81 MPa), maxillary molars, posterior part of the hard palate. Stress distribution also shown more in the pterygomaxillary region (Figure 5 and Table 2-15).

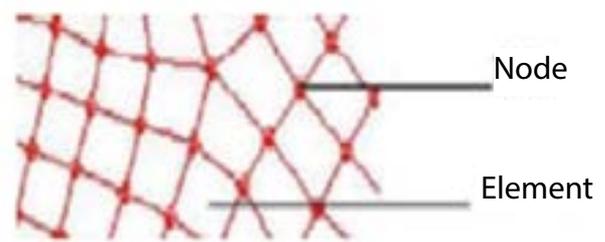
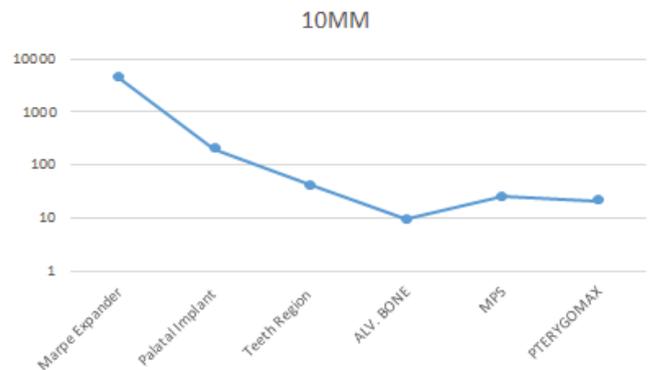
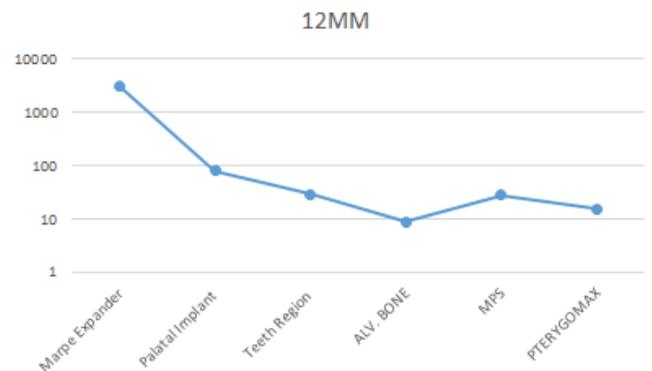


Figure 3. FEM uses the triangle as its basic unit for form



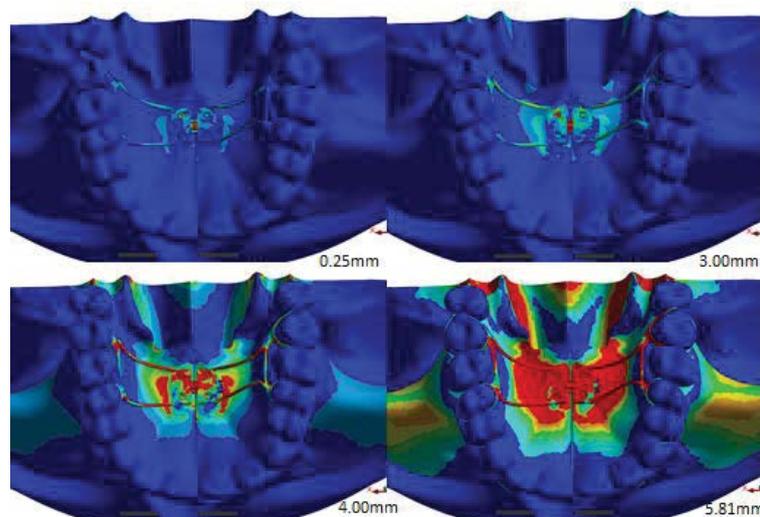
Graph 1. Stress distribution-Type 1 MARPE (MM-millimeter)



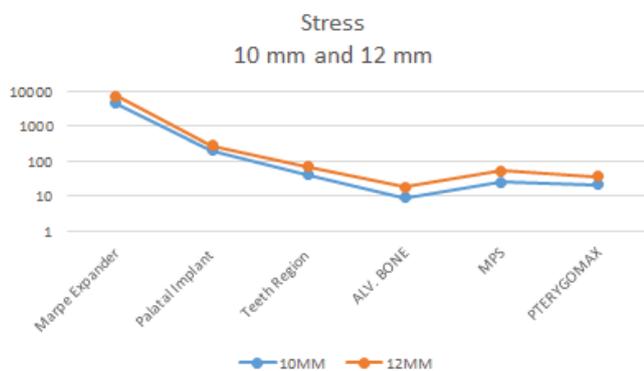
Graph 2. Stress distribution-Type 2 MARPE

**Strain energy**

On comparing Type 1 and 2 MARPE, strain energy was more in Type 1 when compared to Type 2 except in the



**Figure 4.** Stress distribution-Type 1 MARPE at various stages of activations (0.25; 3.00; 4.00; 5.81 mm)



**Graph 3.** Comparison graph of stress distribution-Type 1 & 2

**Table 2.** Stress distribution-comparison of type 1 and 2 at maximum activation

REGIONS	TYPE1	TYPE2
Marpe Expander	4464.1	3076.2
Palatal Implant	204.01	81.63
Teeth Region	41.35	29.674
Alevolar Bone	9.365	8.8171
MPS	25.214	28.148
PTERYGOMAX	21.135	15.41

**Table 3.** Stress distribution in marpe expander-comparison of type 1 and 2 at various stages of activations

Marpe Expander	TYPE 2	Marpe Expander	TYPE1
0.25	1435.9	0.25	1575.8
3	1834.8	3	2527.3
6	2355.3	5.81	4464.1
8.5	3076.2		

**Table 4.** Stress distribution in teeth region-comparison of type 1 & 2 at various stages of activations

TEETH REGION	TYPE 2	TEETH REGION	TYPE1
0.25	15.035	0.25	18.35
3	19.875	3	25.1
6	25.124	5.81	41.35
8.5	29.674		

**Table 5.** Stress distribution in palatal implant –comparison of type 1 and 2 at various stages of activations

Palatal Implant	TYPE 2	Palatal Implant	TYPE1
0.25	3.01E+01	0.25	1.20E+02
3	4.73E+01	3	1.51E+02
6	7.04E+01	5.81	2.04E+02
8.5	8.16E+01		

**Table 6.** Stress distribution in alveolar bone –comparison of type 1 and 2 at various stages of activations

Alveolar bone	TYPE 2	Alveolar bone	TYPE1
0.25	6.97E+00	0.25	7.43E+00
3	7.32E+00	3	7.80E+00
6	8.23E+00	4	8.78E+00
8.5	8.82E+00	5.81	9.37E+00

**Table 7.** Stress distribution in midpalatal suture-comparison of type 1 and 2 at various stages of activations

MPS	TYPE2	MPS	TYPE1
0.25	18.938	0.25	19.01
3	19.364	3	20.0652
6	26.542	5.81	25.214
8.5	28.148		

**Table 8.** Stress distribution in pterygomaxillary region-comparison of type 1 & 2 at various stages of activations

PTERYGOMAX	TYPE 2	PTERYGOMAX	TYPE1
0.25	1.30E+01	0.25	1.70E+01
3	1.31E+01	3	1.77E+01
6	1.45E+01	5.81	2.11E+01
8.5	1.54E+01		

**Table 9.** Strain energy-comparison of type 1 & 2 at maximum activation

REGIONS	TYPE1	TYPE2
Marpe Expander	0.035	0.0179
Palatal Implant	2.93E-04	3.80E-04
Teeth Region	0.0265	0.0135
Alevolar Bone	1.48E-03	6.20E-04
MPS	0.0154	0.0185
PTERYGOMAX	1.26E-02	1.53E-02

alveolar bone region. Type 1 showed more strain in the micro screw implant (2.93 MPa) followed by the alveolar bone

**Table 10.** Strain energy in marpe expander-comparison of type 1 & 2 at various stages of activations

Marpe Expander	12 MM	Marpe Expander	10 MM
0.25	0.00091	0.25	0.0001
3	0.00132	3	0.00284
6	0.00546	5.81	0.03541
8.5	0.01791		

**Table 11.** Strain energy in palatal implant region-comparison of type 1 & 2 at various stages of activations

Palatal Implant	12 MM	Palatal Implant	10 MM
0.25	2.50E-05	0.25	1.26E-05
3	3.80E-05	3	1.48E-05
6	2.40E-04	5.81	2.93E-04
8.5	3.80E-04		

**Table 12.** Strain energy in teeth region-comparison of type 1 & 2 at various stages of activations

Teeth Region	12 MM	Teeth Region	10 MM
0.25	0.0068	0.25	0.0181
3	0.0095	3	0.0195
6	0.0102	5.81	0.0265
8.5	0.0135		

**Table 13.** Strain energy in alveolar bone-comparison of type 1 and 2 at various stages of activations

Alveolar bone	12 MM	Alveolar bone	10 MM
0.25	4.25E-05	0.25	6.26E-05
3	7.18E-05	3	9.48E-05
6	3.44E-04	5.81	1.48E-03
8.5	6.20E-04		

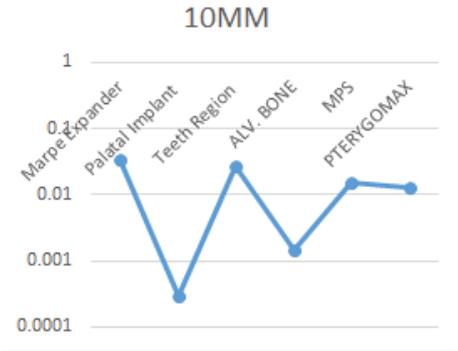
**Table 14.** Strain energy in midpalatal suture-comparison of type 1 & 2 at various stages of activations

MPS	TYPE2	MPS	TYPE1
0.25	0.00012	0.25	0.00267
3	0.0085	3	0.00518
6	0.0138	5.81	0.01544
8.5	0.0189		

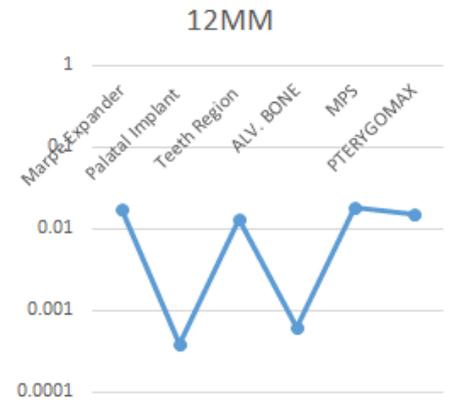
(1.48e-03MPa), whereas Type 2 showed more strain energy in the alveolar bone (6.20e-04 MPa) followed by micro screws, particularly, posterior ones (Figure 6 and Graph 4-6).

**Table 15.** Strain energy in pterygomaxillary region-comparison of type 1 & 2 at various stages of activations

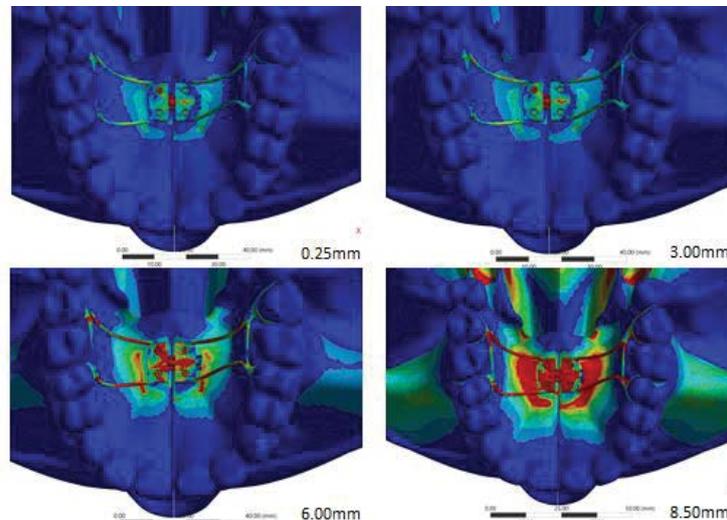
PTERYGOMAX	TYPE 2	PTERYGOMAX	TYPE1
0.25	8.55E-03	0.25	7.81E-03
3	9.84E-03	3	8.55E-03
6	1.24E-02	5.81	1.26E-02
8.5	1.53E-02		



**Graph 4.** Strain energy-Type 1 MARPE



**Graph 5.** Strain energy-Type 2 MARPE



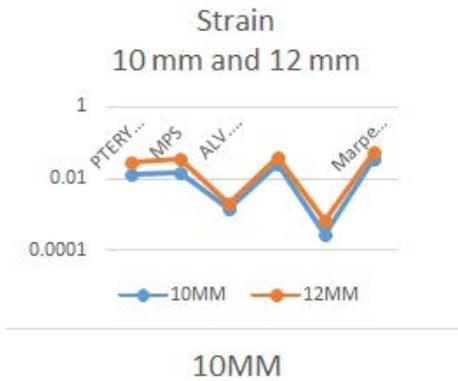
**Figure 5.** Stress distribution-Type 2 MARPE at various stages of activations (0.25; 3.00; 6.00; 8.50mm)

The least amount of strain in and around the dento alveolar region was shown by only (Figure 7).

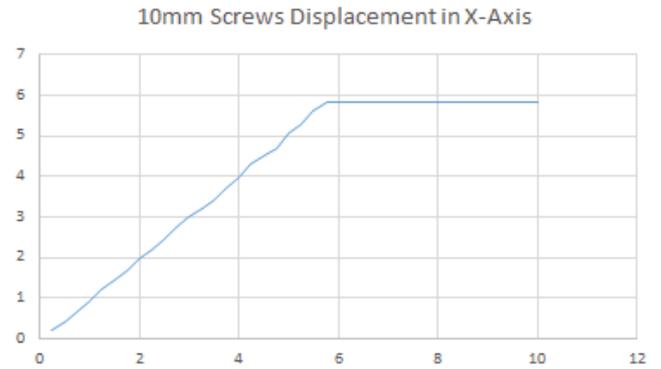
**Displacement of the bone**

Type 1 MARPE showed displacement in the maxillary mid-palatal region of around 0.20 mm for every one turn of the expander. It showed a maximum displacement of 5.81 mm of the mid palatal suture (Graph 7,10,13). Study showed more amount of displacement in the anterior region as the expander was activated beyond 5 mm (Figure 8).

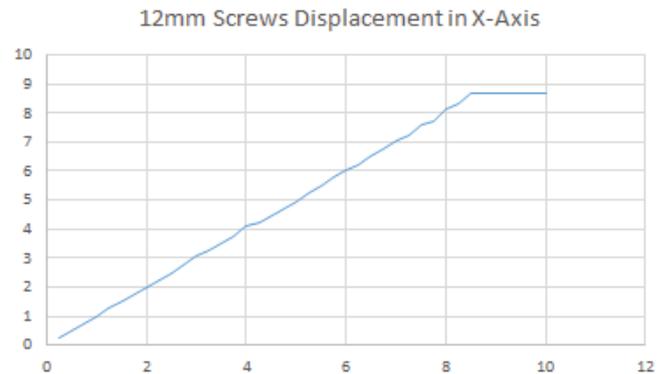
Type 2 MARPE showed displacement of around 0.22 mm which was not significantly different for every one turn



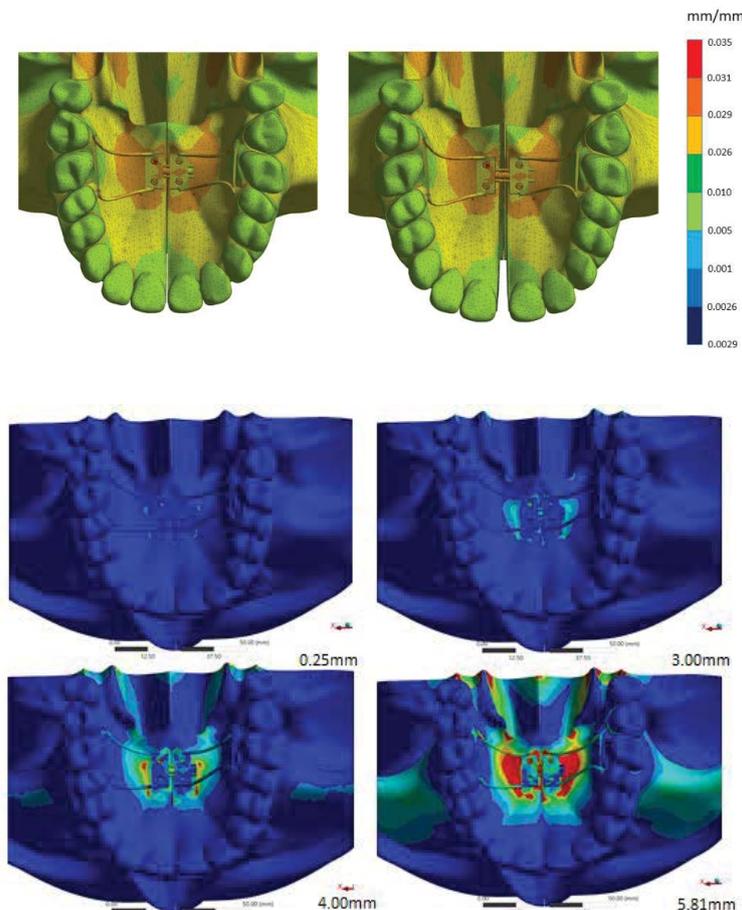
**Graph 6.** Comparison graph of strain energy-Type 1 and 2



**Graph 7.** X axis-displacement of the maxilla in Type 1



**Graph 8.** X axis-displacement of the maxilla in Type 2



**Figure 6.** Strain Energy-Type 1 MARPE at various stages of activations (0.25; 3.00; 4.00; 5.81mm)

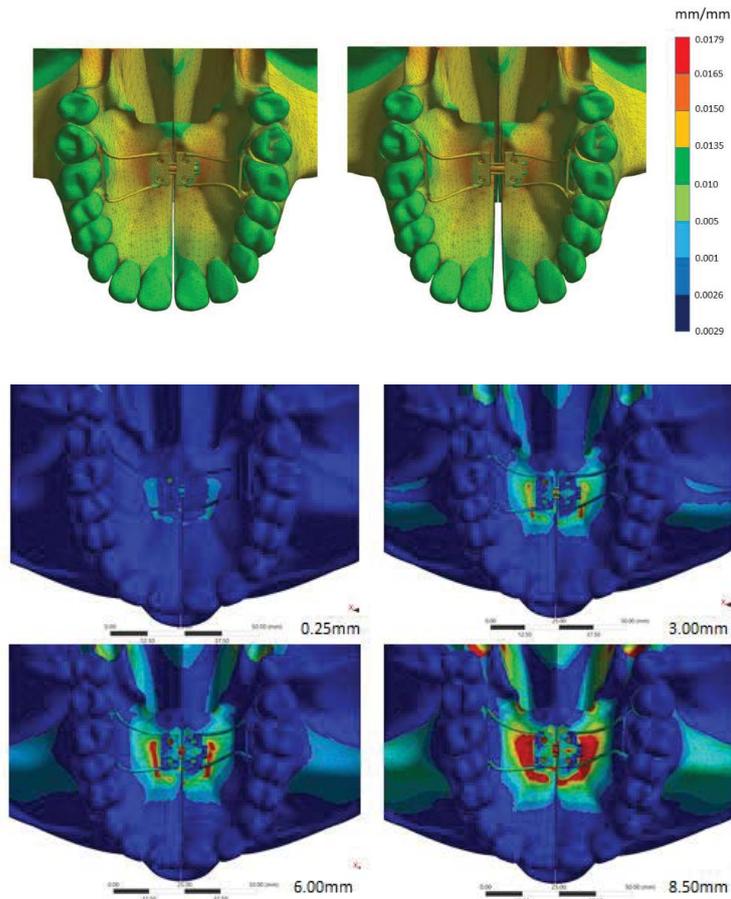
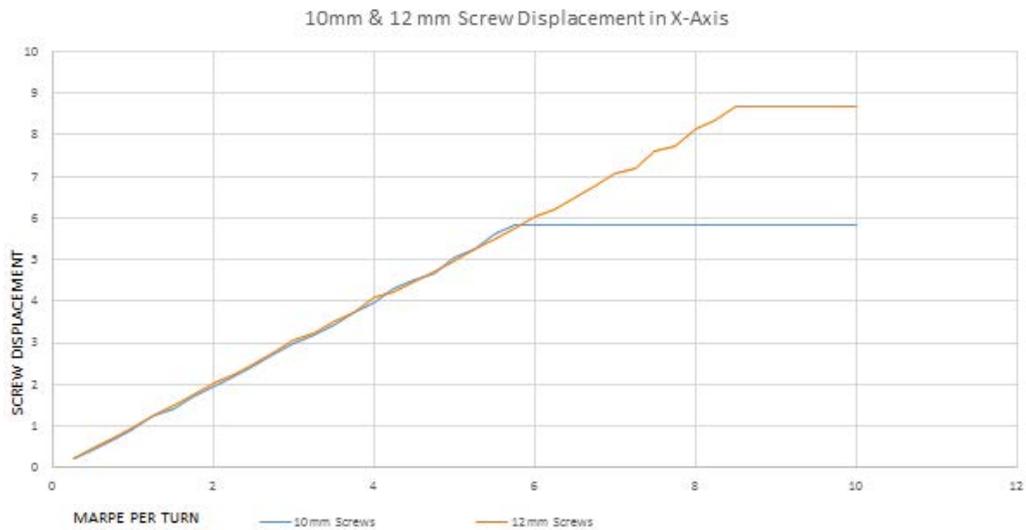


Figure 7. Strain Energy-Type 2 MARPE at various stages of activations (0.25; 3.00; 6.00; 8.50mm)



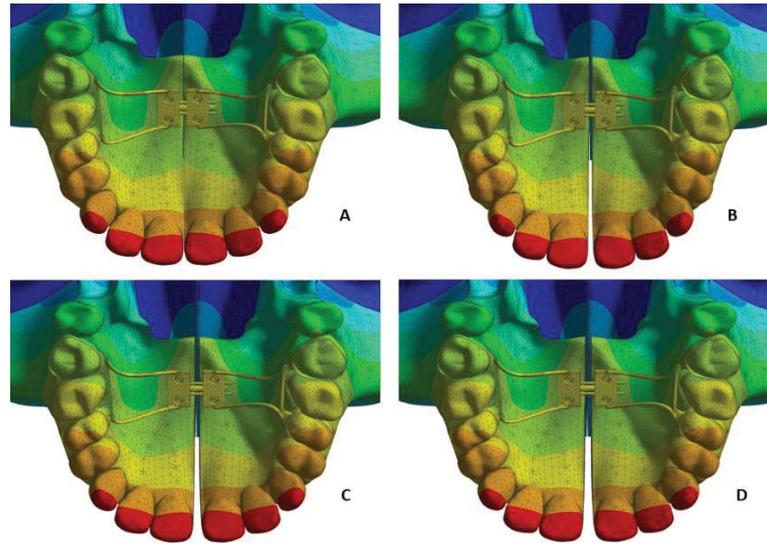
Graph 9. Comparison graph- X axis - displacement of the maxilla in Type 1 and 2

of the expander (Graph 8, 9, 11, 12). But comparatively it showed a maximum displacement of the mid palatal suture by 8.68 mm (Figure 9 and Graph 14, 15). Similar to Type 1 more displacement was seen in the anterior region beyond 5 mm of activation.

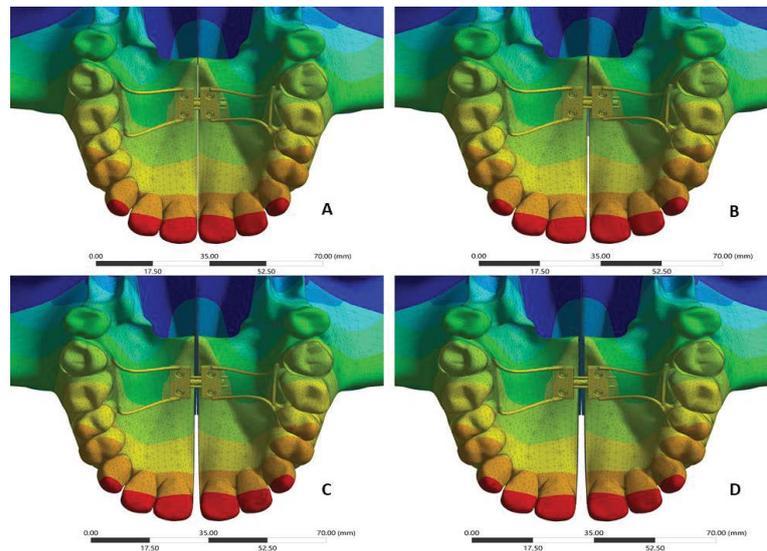
**Discussion**

The fact that the mid-palatal suture can be widened and the palate expanded by orthodontic appliances after the

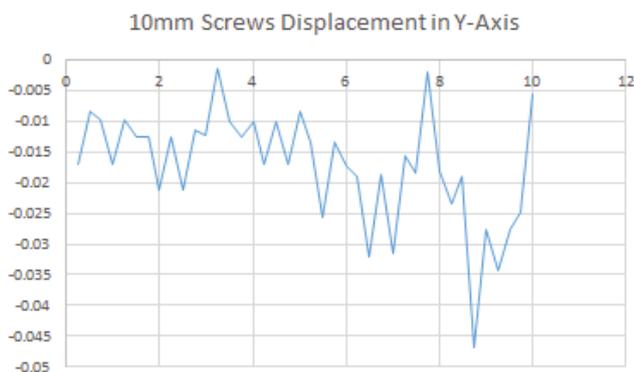
age of 10 years is probably more a reflexion of its special character in being only in part a tension resisting joint and in having deep, relatively flat, opposing surfaces which would appear to be adapted to resist intermittent medial pressures. It appears that at no time after the cessation of sutural growth does synostosis take place in the mid-palatal suture. Possibly the range of masticatory movements characteristic of man, causing constant slight movement of the bones, is one of the factors involved [22].



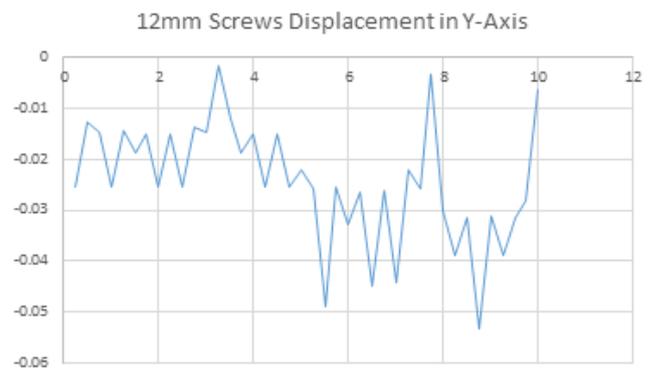
**Figure 8.** Stages of displacement-Type 1 MARPE  
 A: 0.25 mm (1 turn) displacement  
 B: 3 mm (6 turn) displacement  
 C: 4 mm (8 turn) displacement  
 D: 5.81 mm-Maximum displacement achieved



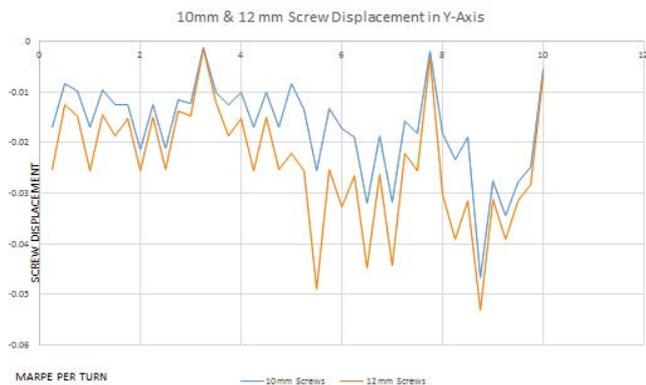
**Figure 9.** Stages of displacement-Type 2 MARPE  
 A: 0.25 mm (1turn) displacement  
 B: 3 mm (6 turn) displacement  
 C: 6 mm (12 turn) displacement  
 D: 8.5 mm-maximum displacement achieved



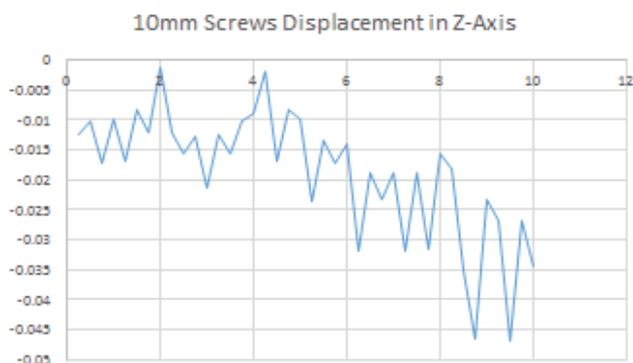
**Graph 10.** Y axis– displacement of the maxilla in Type 1



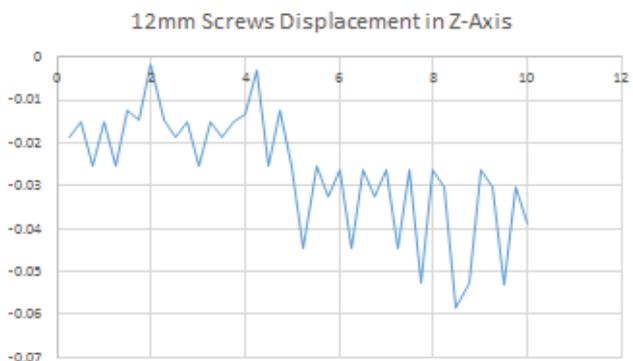
**Graph 11.** Y axis-displacement of the maxilla in Type 2



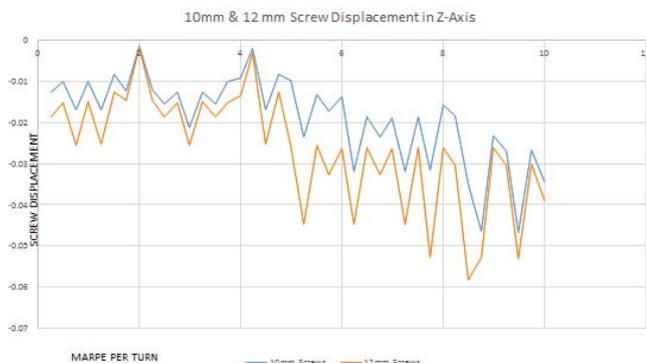
**Graph 12.** Comparison graph-Y axis displacement of the maxilla in Type 1 and 2



**Graph 13.** Z axis-displacement of the maxilla in Type 1



**Graph 14.** Z axis -displacement of the maxilla in Type 2.



**Graph 15.** Comparison graph - Z axis-displacement of the maxilla in Type 1 and 2

Medial Borders of the hemi-maxillae, which grow toward each other until they are mechanically interlocked, progress along the following postnatal development stages: synbrosis, broad distance between parallel borders; synarthrosis, narrower sinuous course; synostosis, complete interdigitation [23]. However, the age at full suture ossification (synostosis) has not been definitely determined in the literature.

Recent histological studies revealed that only the anterior third of the suture was ossified in human beings older than 70 years, although ossification appeared complete on radiographs. Histological studies have demonstrated that caution should be taken when defining the stage of ossification using imaging exams [24]. The selected expander should have the greatest expansion capacity and at the same time should maintain ideal vertical distance from the palatal mucosa.

Since conventional rapid palatal expanders that are either tooth-borne (hyrax type) or tooth and tissue-borne (Haas type) cause questionable effects on the basal bone, a rigid element that delivers the expansion force directly to the basal bone could be a solution. For this purpose, a Miniscrew-assisted Rapid Palatal Expander (MARPE) was designed and used in an adult patient [25]. From this point of evidence MARPE gained popularity and various designs evolved for treating thereafter [5,23,26-30].

Previously reported studies about MARPE dealt with its potential efficacy for treating transverse discrepancies [28,29,31,32]. There were studies related to MARPE by comparing it with other expanders for palatal expansion which were used traditionally [1,5,27]. Also FEM studies were done to evaluate the stress distribution associated with MARPE during maxillary expansion [5,33]. Also studies were done recently on the effect of varying degrees of cortical anchorage of the implants [34,35].

Taken all these factors into consideration, our study was aimed to evaluate and compare not only the stress distribution but also the strain energy and displacement level achieved from initial to maximum activations, when screws of two different dimension were used to anchor the appliance to the palatal bone.

**Position and design of marpe**

In this study positioning of the MARPE was done in such a way that the body should be placed as posterior as possible, close to the junction of hard and soft palate. By this, MARPE is able to generate forces to overcome initial resistance and induce parallel opening of the mid-palatal suture [36]. MARPE used in our study is a simple modification of a conventional RPE appliance. The basic design was according to researcher [28,34] except for the anterior arm extended and soldered in the premolar region, rather than both the arms in first molar region as in Won Moon’s design. Positioning of the anchoring implants was according to Won Moon’s recommendation (3 mm lateral to the mid palatal suture) [37,38].

### **Dimension of implants**

The stresses in cortical bone layer surrounding the miniscrew implant are affected by miniscrew implant diameter, head length, and thread size as well as the elastic modulus of cancellers bone and may therefore affect its stability [39]. The size of the implants used in MARPE for our study (10 mm and 12 mm) were chosen based on Carlson et al. [29] recommendation. According to him the length of the mini screws should be chosen considering the following factors, 2 mm height of the insertion slots, 1 to 2 mm of space between the appliance and the palatal surface, 2 mm thickness of gingiva. So for a desired 5 to 6 mm of bone engagement we need to choose a minimum length of at least 10 mm. As there is no direct literature evidence for the mini screw dimension considerations for MARPE, our study compared two mini screws of different dimensions.

### **FEM**

Finite Element Analysis (FEA) is a numeric approximation technique that is widely used to assess biomechanical problems. FEA has been applied to study different aspects of bone -borne expanders, mainly stress distribution and displacement as well as its biomechanical effects on craniofacial sutures. However, no study has compared dimensions of microscrew implants using FEA. Finite element method is more highly dependent on the accuracy of the finite element model. The FEM modeling software (ANSYS) used in our study allows us to access sutures and palatal region more accurately and convincingly. Earlier studies [1,5,40,41] on MARPE reported that mid-palatal and pterygomaxillary sutures are the primary anatomic resistance to skeletal expansion in adult patients [42]. In our study we found that the pterygomaxillary region showed more stress next to the midpalatal suture. MARPE region showed the highest stress followed by the palatal implant region. Lee et al. [5] compared conventional RPE, SARPE and MARPE for stress concentration and found more stress in MARPE around the anchorage areas in similarity with our study. MacGinis et al. [1] compared the stress distribution between conventional RPE & MARPE and reported less stress distribution around the buttress region namely nasomaxillary, zygomaticomaxillary and pterygomaxillary region in marpe. As there is more resistance displayed in the palate posteriorly due to its anatomic constraints, more stress was found in the pterygomaxillary region.

When compare with Type 1 and 2 in there was wide stress distribution found around the mid palatal region and the assembly of MARPE Type 1. On initial activation there was mild stress in the MARPE Type 2, followed by the implant region. Later on, during maximum activation there was more stress in the implant region, mid palatal suture and the pterygomaxillary region. Robert J. Lee [34] in their study reported that variation in the depth of miniscrew penetration did not influence significantly on stress distribution at the end of maximum activation. In contrast, our study found that

longer screw had more anchorage and less stress distribution at the end of maximum activation. Stress displacement was measured in our study in the MARPE region, implant region, mid palatal sutural area and the teeth region.

On maximum displacement beyond the proportional limit of the MARPE, we found there is more strain occurring in the posteriorly placed implants. More strain is observed in Type 1 implants throughout the activation cycle. At 5.81 mm of palatal expansion, Type 1 implants fractured at a strain value of 2.93e-04MPa. At the same point of expansion, Type 2 implants showed comparatively less strain value of 2.40e-04MPa. Even at increased strain value of 3.80e-04MPa at maximum expansion, Type 2 implants did not fracture. Because of this, the deformation of the posterior implants in the MARPE occurred, resulting in failure of the simulation in FEM. The point or the expansion that occurred before this deformation is calculated as the maximum expansion obtained from the respective MARPE. The trend of increased strain on posterior implants leading to fracture may be due to the proximity to more anatomical resistance. As of now, no literature evidence is available on this aspect. A clinical co-relation on implant failure may throw more light on this and help us evolve on future MARPE design which resists implant fracture.

Nonparallel displacement of the maxillary halves was reported, interestingly, with wider opening in the anterior palatal region [43]. Our study showed parallel displacement till 20 turns of the expander, over this, which it showed more displacement towards the anterior part of the palate. Displacement was calculated on every activation of MARPE and on an average; we took 4activation stages for each implant and assessed the same. Earlier studies researchers [1,25,41] reported a maximum displacement and there were no data for every activation as described in our study. MacGinis et al. [1] reported that as the expansion forces are kept closer to the maxilla's center of resistance, less tipping occurs with a more lateral translation of the complex. Our study showed that during palatal expansion, there is clockwise rotation of the maxilla in X axis which is more in Type 2 (0.03MPa) than Type 1 (0.01MPa) with little or minimal tipping. No rotational tendency was observed in Y and Z axis, which indicates that there is parallel displacement of the maxillary halves.

### **Future Studies**

In the present study we have evaluated the strain energy level and displacement achieved by MARPE along with stress distribution prevailing in the maxilla. Also we have studied that maximum strain is the same for both miniscrew dimensions, but the expansion achieved is variable. Further research and additional simulated studies can be done to evaluate the stress, strain and displacement using different materials for miniscrews used in MARPE i.e., by comparing the stainless steel miniscrew and titanium miniscrews. Also the penetration depth of the region should be assessed with more advanced software.

## Conclusion

No concrete evidence has shown that the palatal suture is completely fused at the end of facial growth, which makes this treatment theoretically applicable at any age and phase of life.

To conclude

- In Type 1 fracture at 5.81 mm of activation while Type 2 resist fracture even at 8.51 mm of activation.
- Type 1 displayed more amount of stress concentration in all areas when compared to Type 2. But both showed similar pattern of stress distribution with maximum stress in the expander region.
- Type 1 & 2 showed parallel displacement up to 20 turns beyond which there was non-parallel displacement.

Type 2 can offer better and further skeletal expansion with increased fracture resistance and stability, when compared to Type 1.

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